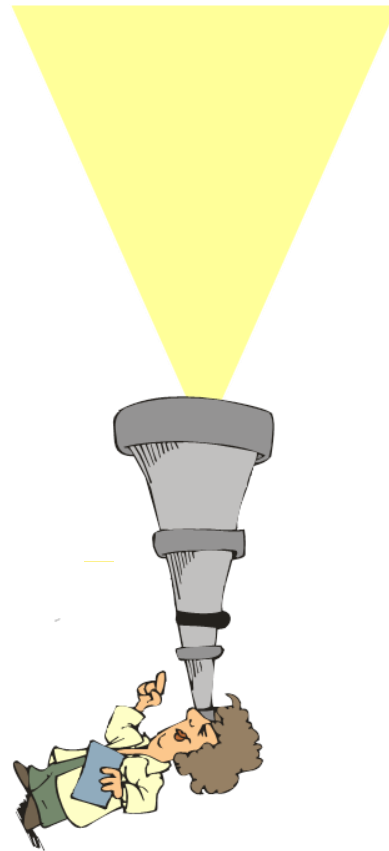


# Biological Acoustic Monitoring



LO: Identify potential uses and limitations of acoustic technologies to monitor biological quantities of interest

John K. Horne

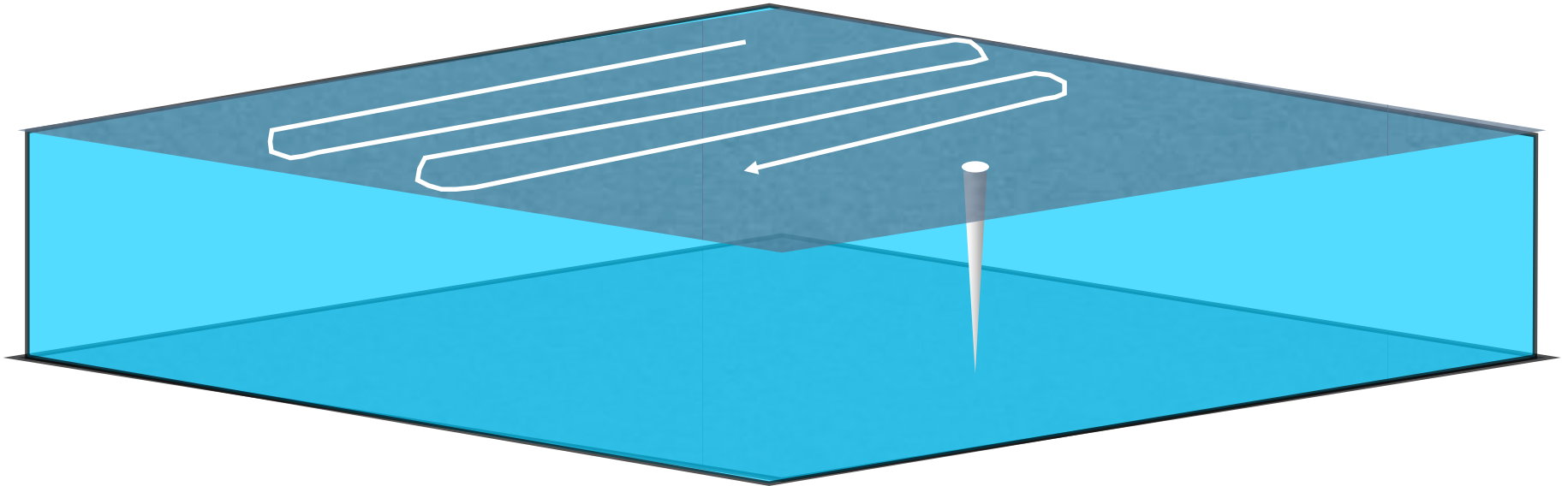
# Applications & Objectives

Application	Objective(s)
Ocean Observing	Temporal trend , Environmental covariates
Hydropower Dams	Fish passage routes, abundance
Nuclear water cooling	Clogging intakes
Marine Renewable Energy	Device collisions, biological impacts, abundance
Environmental Impact	Pre/post disturbance

# Sampling the Ocean

## Spatially-indexed vs. temporally-indexed data

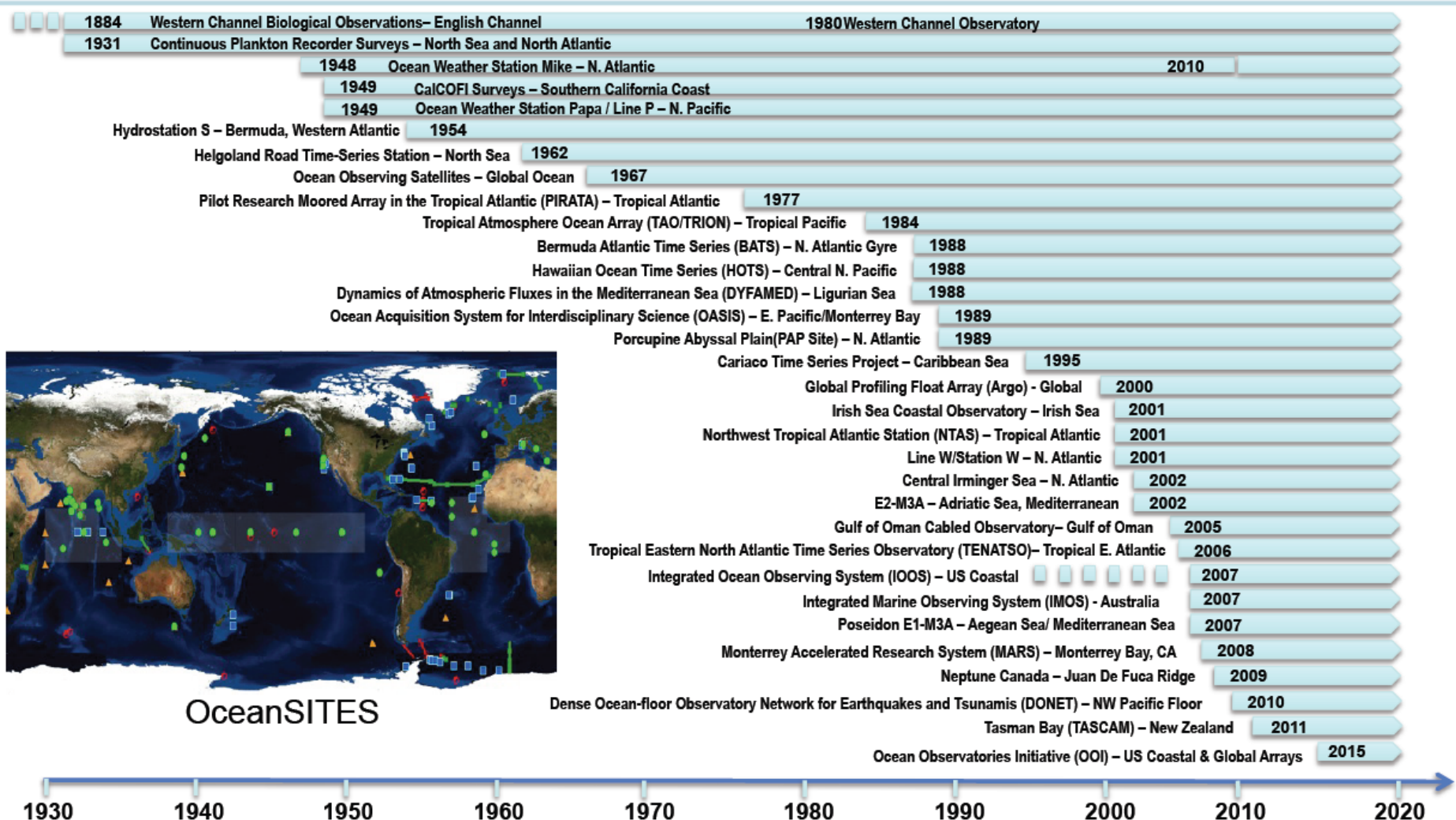
Mobile: large space, short time, convolve space and time



Stationary: long time, small space

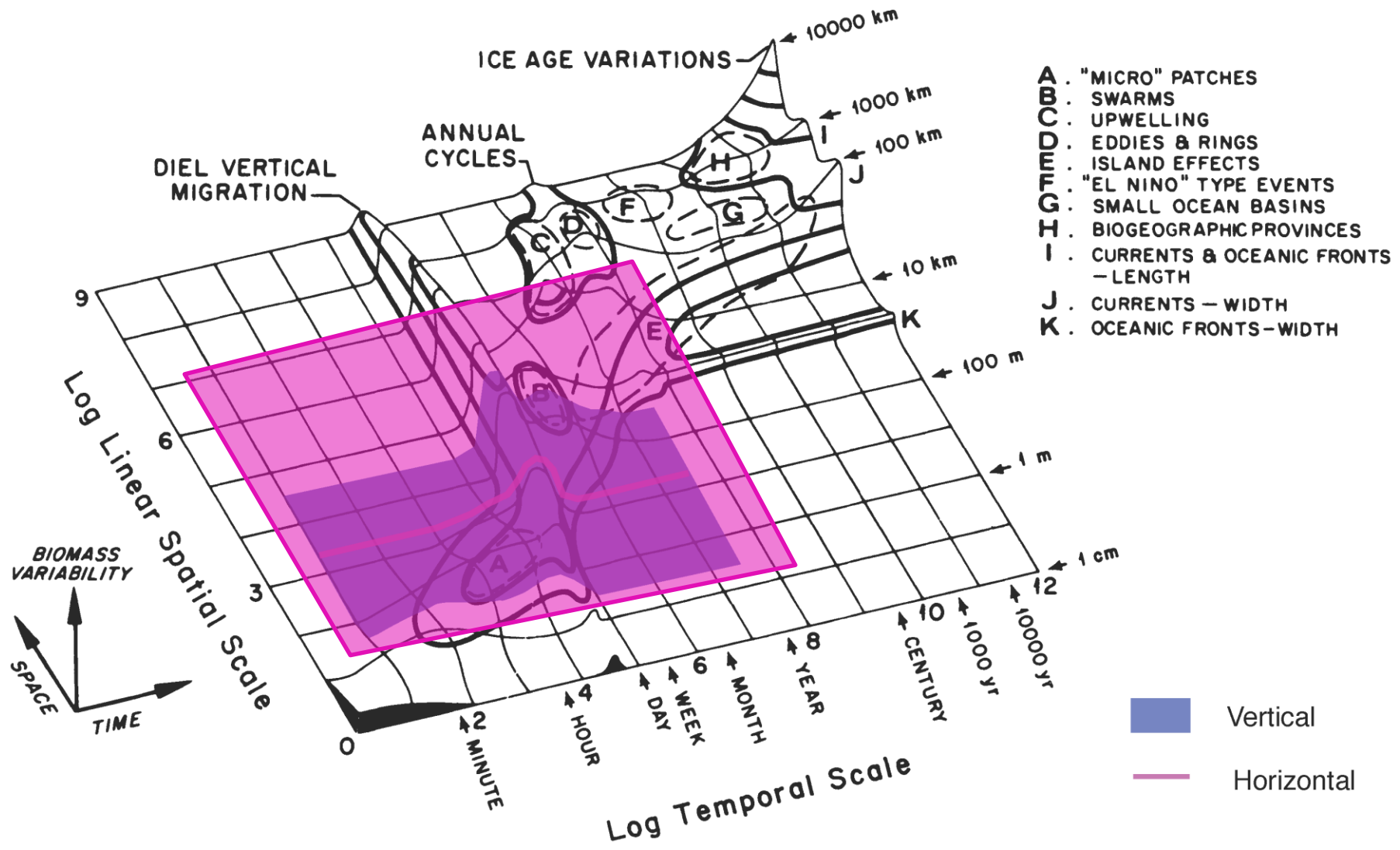
Hybrid: mobile platform (slow) over long time

# Ocean Observing Time Series



Steven Ackleson, US Consortium for Ocean Leadership

# Expanding Scales in Ocean Observing



# Ocean Observing, Observatories, and Observing Systems

Classic:

**Ocean observing:** instruments used to observe water properties or water contents

**Observatory:** central node(s) supplying power & communications to instrument(s)

Evolving:

**Observatory:** stationary or mobile instruments using node infrastructure

**Observing system:** regional infrastructure or platform(s) supporting instrument clusters

What's Changed?

Infrastructure: from project based to program

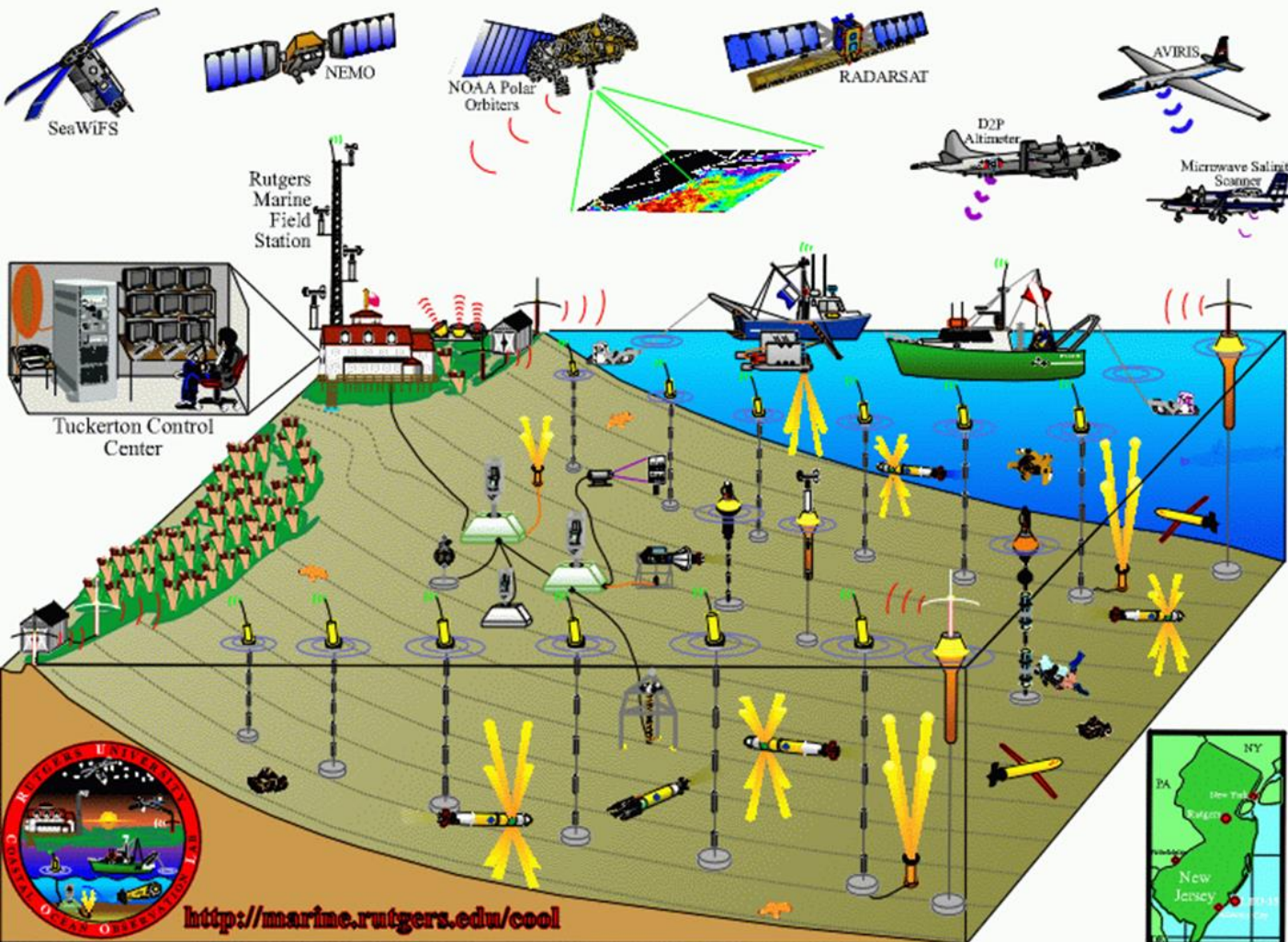
- expansion from single site up to ocean basin, linking site infrastructures
- expansion and inclusion of mobile instruments, winched platforms
- expansion of infrastructure from surface or submerged node

Objectives: from explicit science and monitoring, to data acquisition for data acquisition or modeling



# Early Conceptual/Actual Observatories

LEO 15: Rutgers University, 1998 - 2001



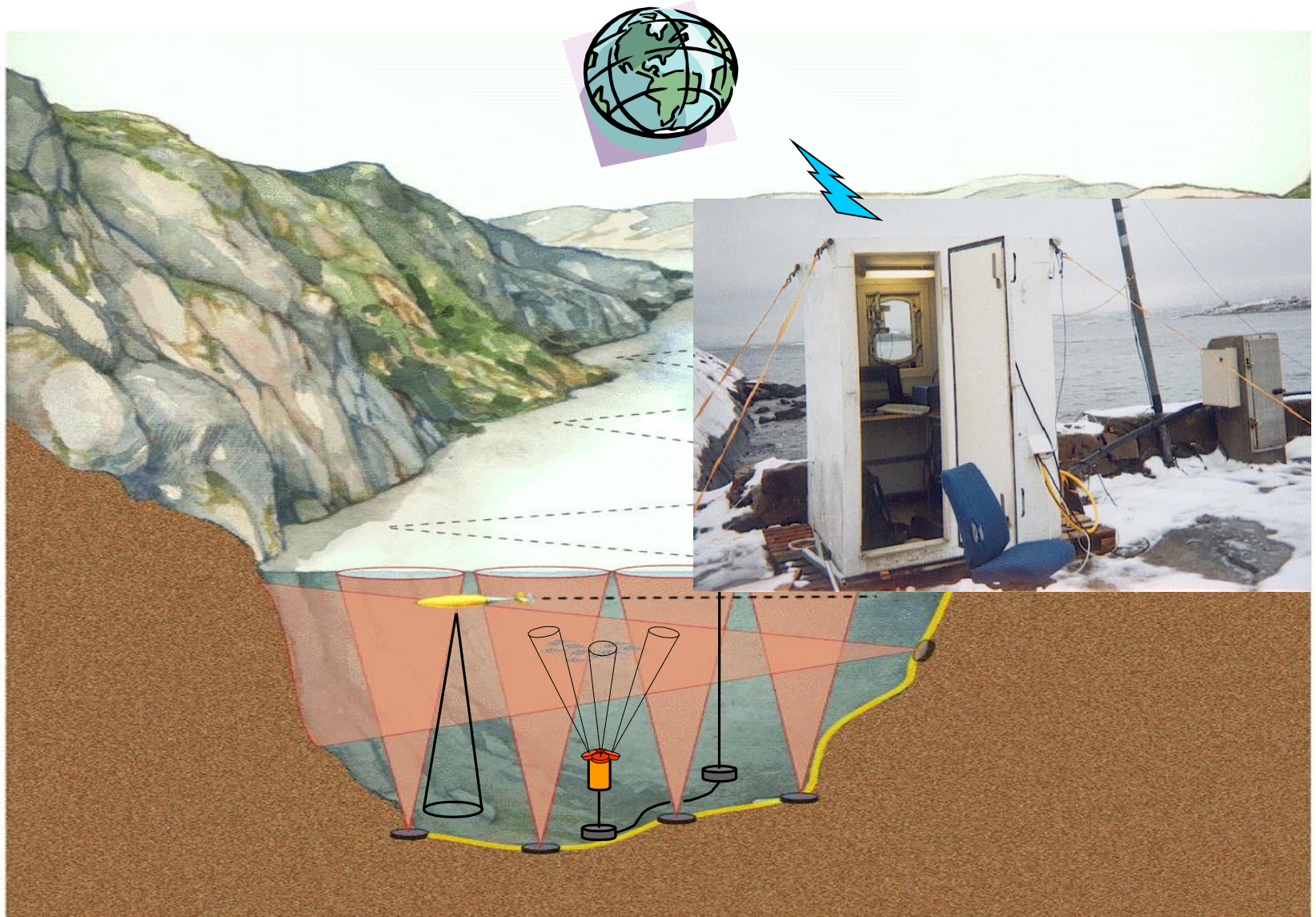
Predictive  
Coastal  
Experiments

Power  
Communication  
Platforms  
Instruments  
Network



# Early Conceptual/Actual Observatories

Ocean Hub Monitoring, IMR, Norway, 2002-2008





# Progression of Ocean Observing

ADCP: Krill DVM

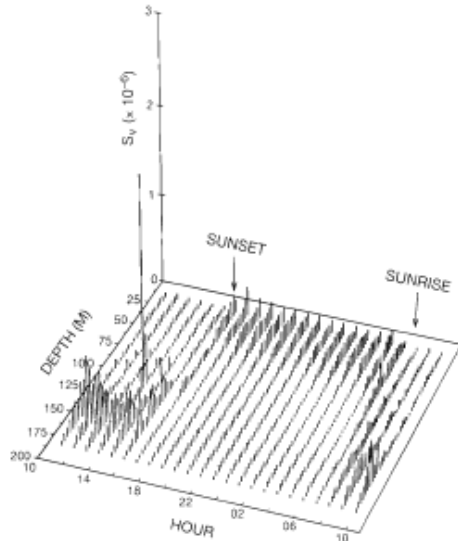


Fig. 4. Stick plot of averaged hourly  $S_v$  vs depth and time of day over Hours 505 to 840 inclusive without smoothing

Cochrane et al.  
1994

MOOS: 38 kHz



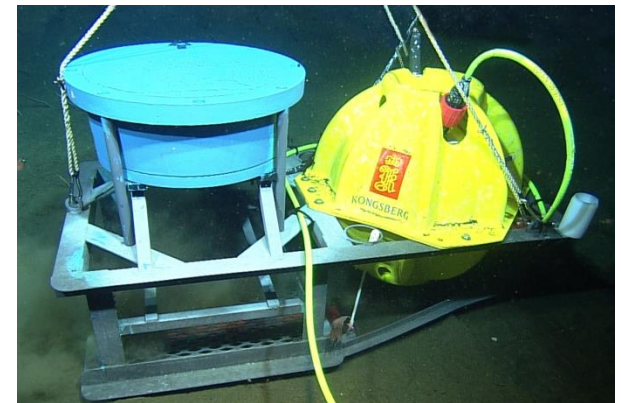
MBARI: 1989 -

Acoustic Lander: 38 kHz



MarEco 2004-2005

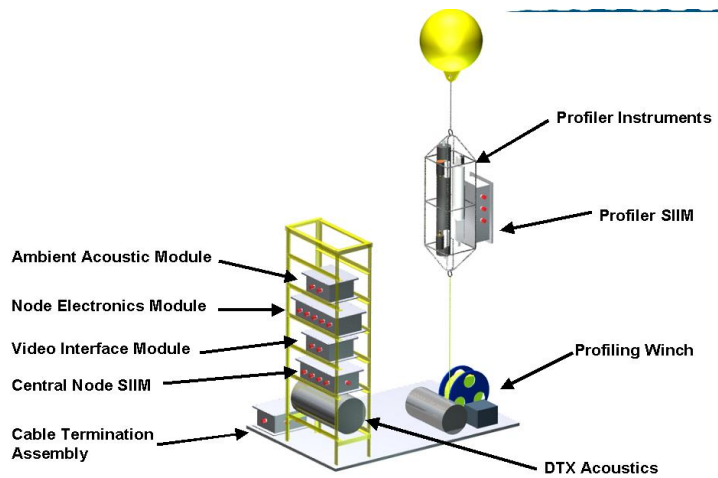
DEIMOS: 38 kHz



MARS-UW, 2009-2012

# Progression of Ocean Observing

## Single Node Observatory



Memorial University, 2004-2005

## Autonomous Deployment for tidal energy baseline



University of Washington, 2011

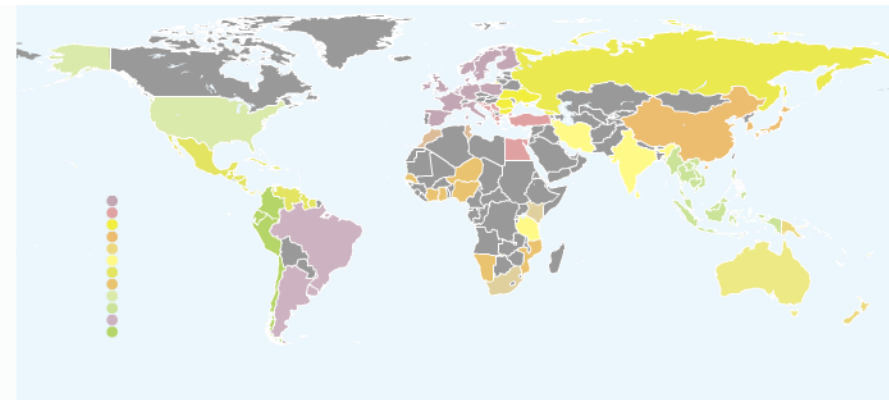
# Ocean Observing Systems

Multi-National or Global

Eurosites: 9 deep (>1000m)



GOOS: Global Ocean Observing System

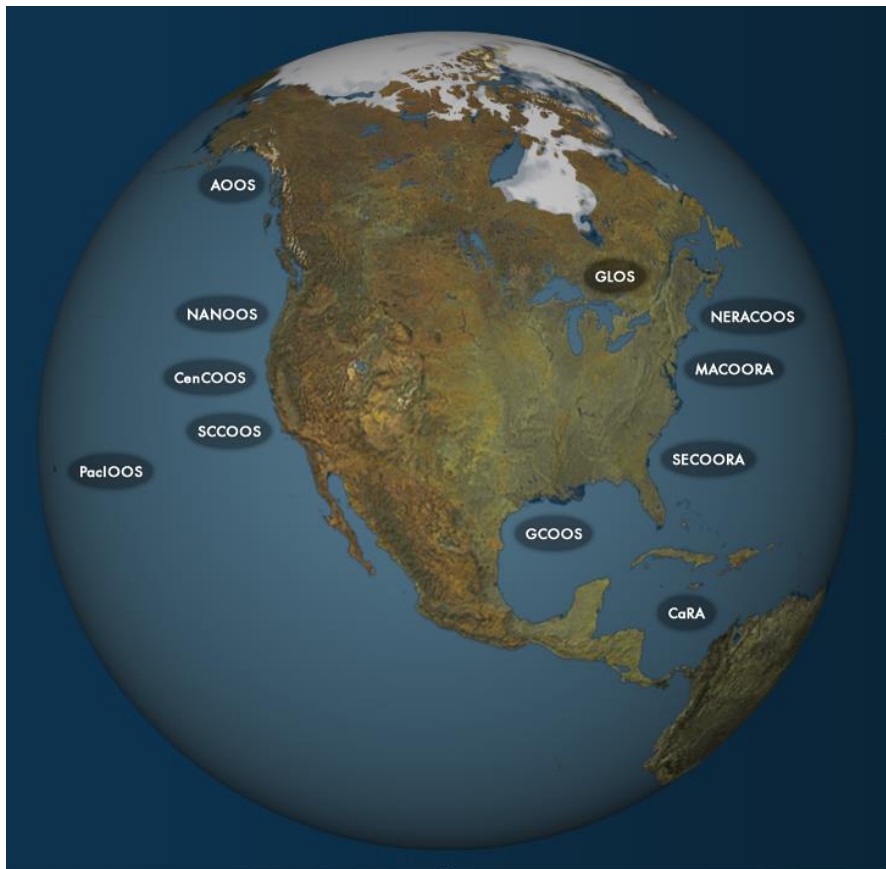


- EuroGOOS
- Mediterranean GOOS
- Black Sea GOOS
- NEAR (North-East Asian Regional)-GOOS
- PI-GOOS
- Indian Ocean GOOS
- IOCARIBE-GOOS
- GOOS-Africa
- USA IOOS
- Southeast Asian GOOS (SEA-GOOS)
- OCEATLAN
- GRASP

# Ocean Observing Systems

National or Bi-Lateral

RCOS: Regional Coastal  
Observing Systems



IMOS: Integrated Marine  
Observing System



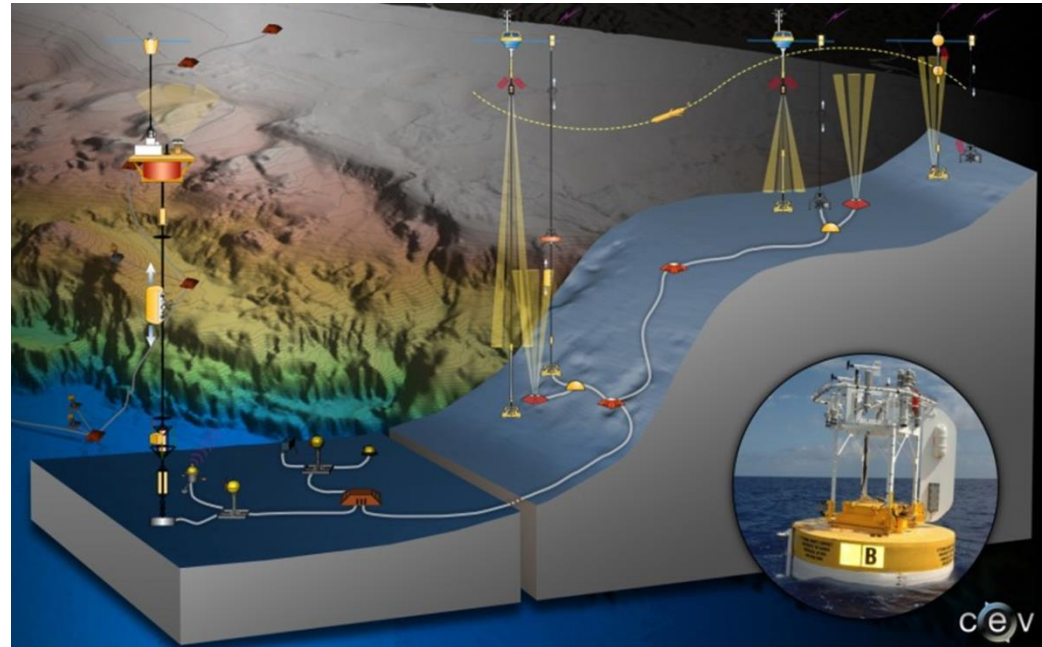


# OOI: Ocean Observatory Initiative 2015

Global (3), Regional (1),  
Coastal (2)



## Coastal Instrument Array



[www.orionprogram.org/OOI/default.html](http://www.orionprogram.org/OOI/default.html)

# Acoustic Technologies Deployed

## ADCP

Manufacturers: Aanderaa, Nortek, RDI, Seaguard

Frequency Range: 150 – 1200 kHz

Depths: 15 - ?

## Echosounders

Manufacturers: ASL Environmental, BioSonics, Simrad

Frequency Range: 38 – 200 kHz

Depths: 20 - 1000 m

# Deployment Platforms

## Surface:

Stationary: buoys, moorings

Mobile: ships, waveglider, saildrone

## Bottom:

dedicated cables; cabled nodes; autonomous packages

Inbetween: stationary or winched platforms; gliders; ROVs; AUVs

# Alternate Platforms

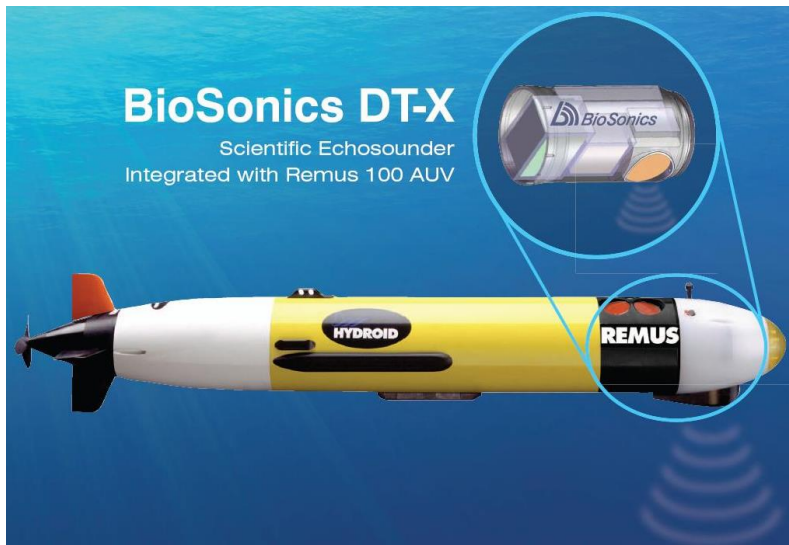
Early



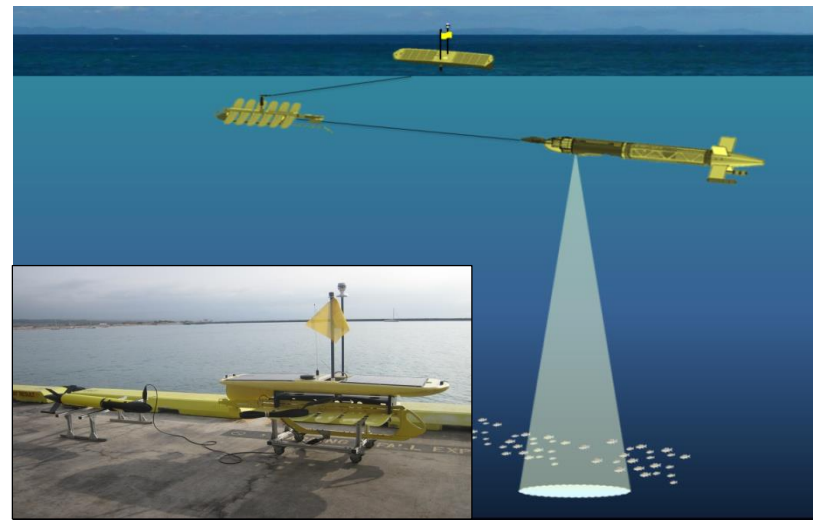
Autosub 2000

Current

AUV - Remus

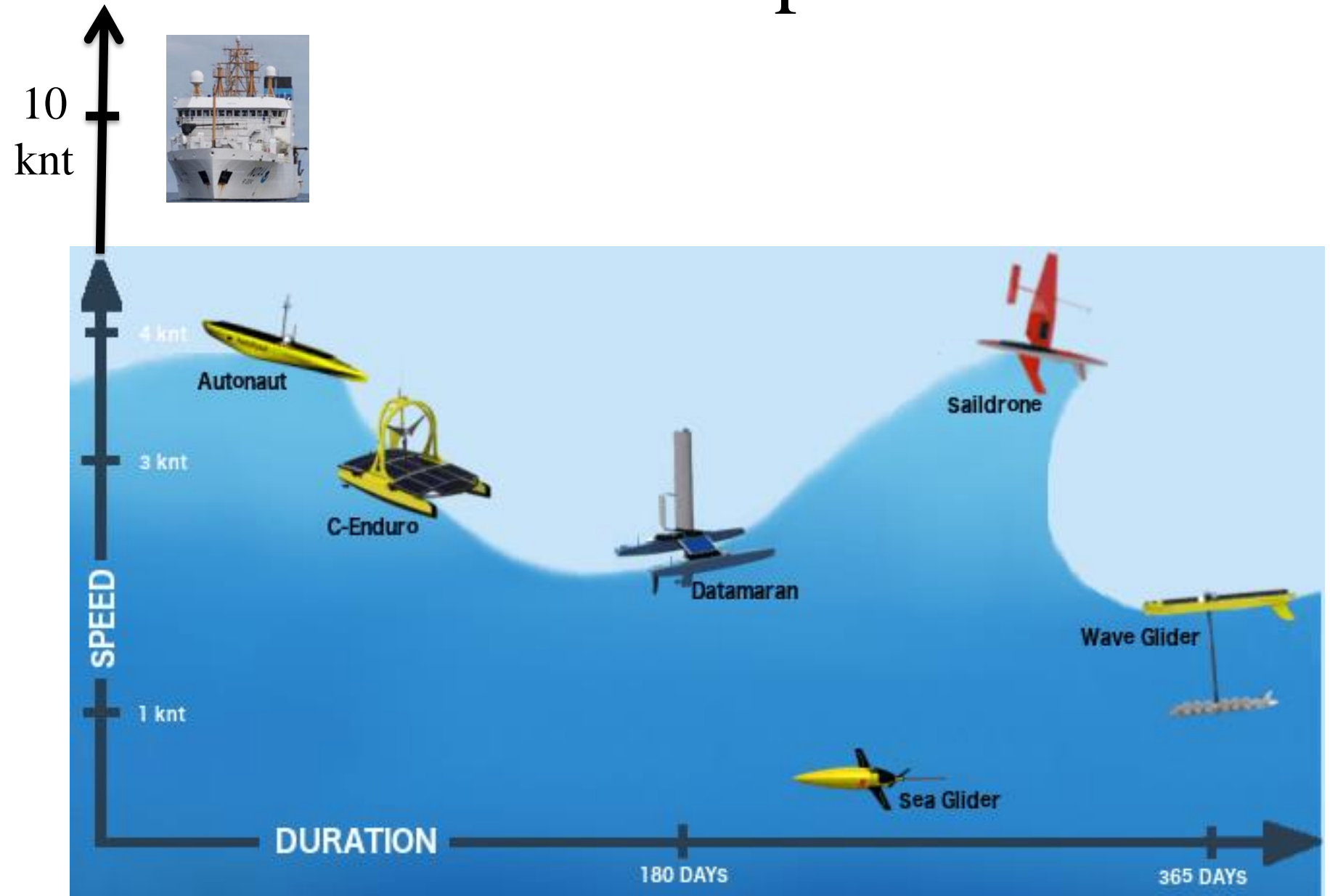


Waveglider



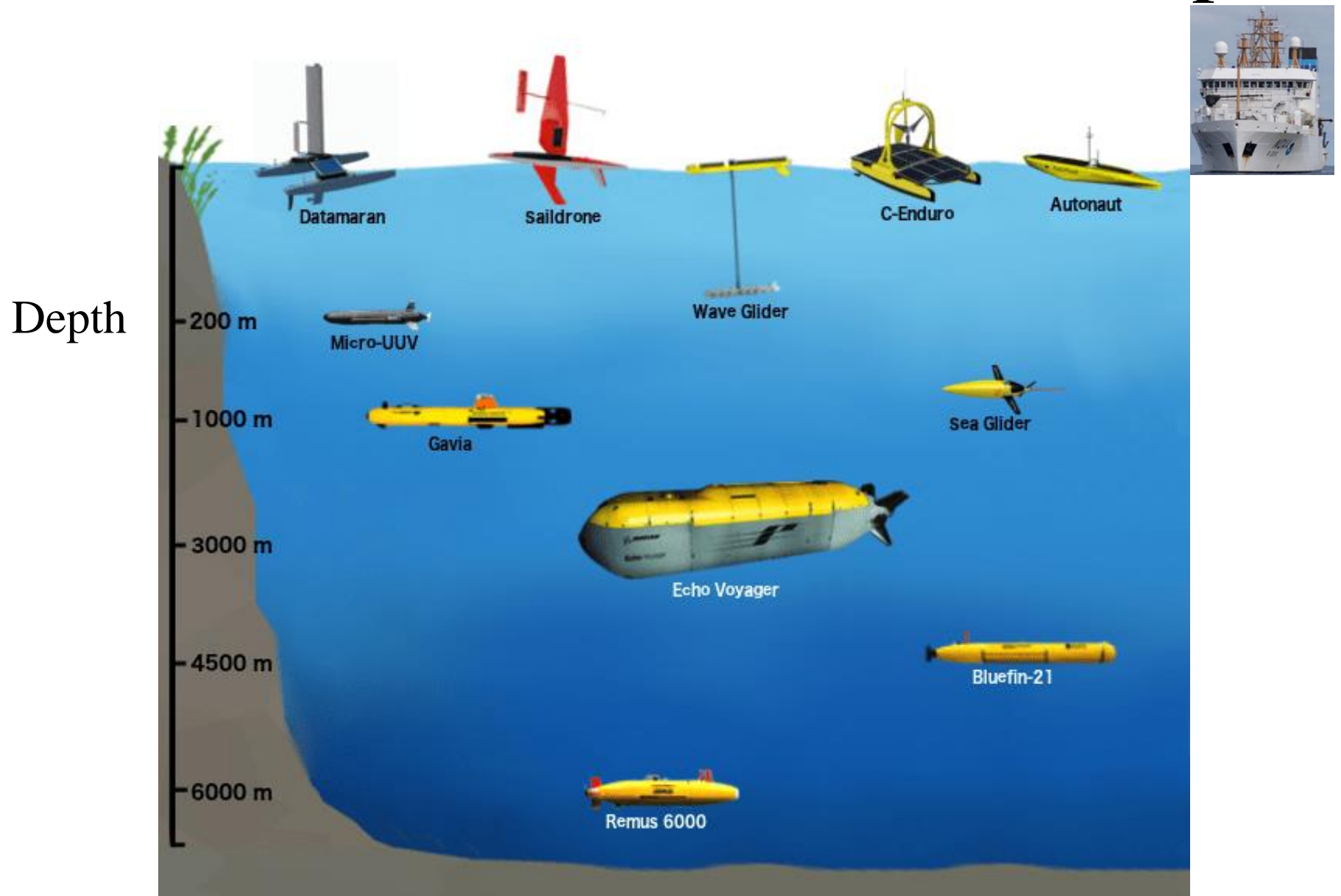


# Alternate Platform: Speed & Duration



Graphics: D. Hume

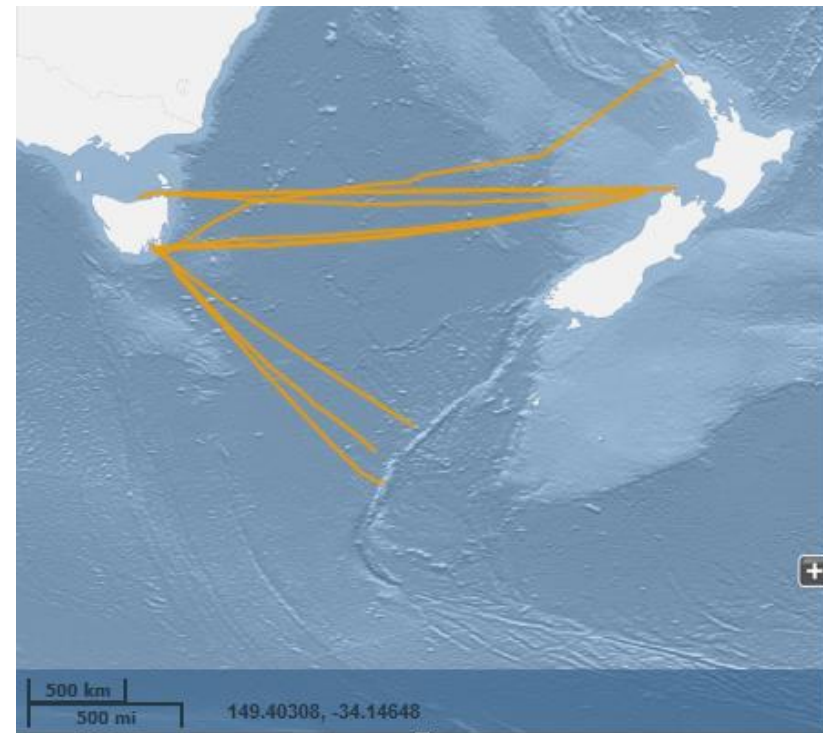
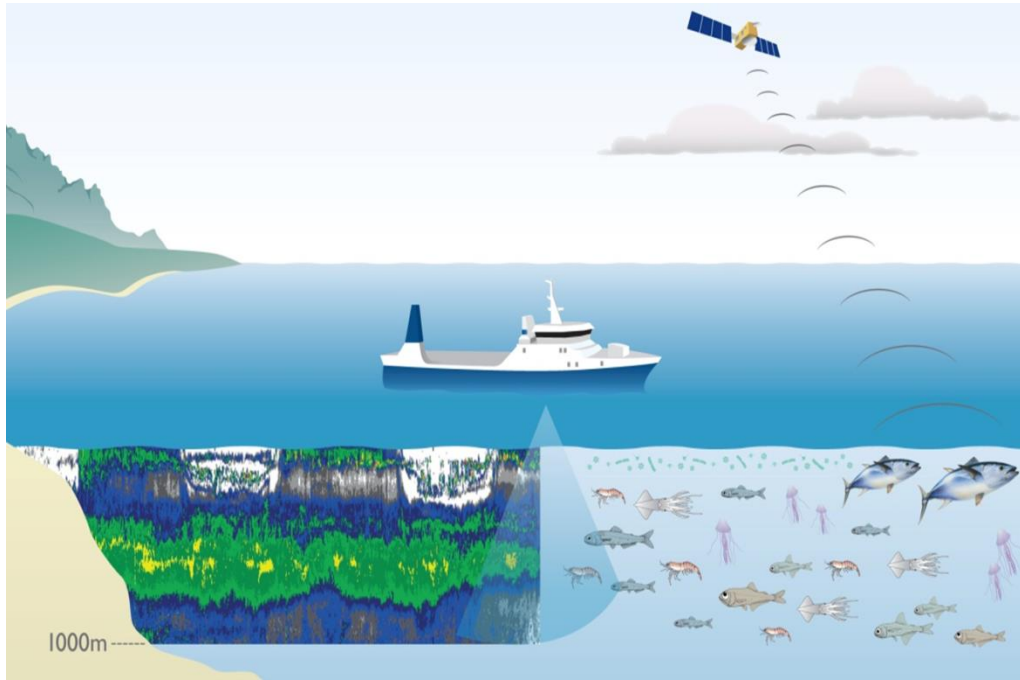
# Alternate Platform: Maximum Depths



Graphics: D. Hume

# Dedicated or Opportunistic Platforms

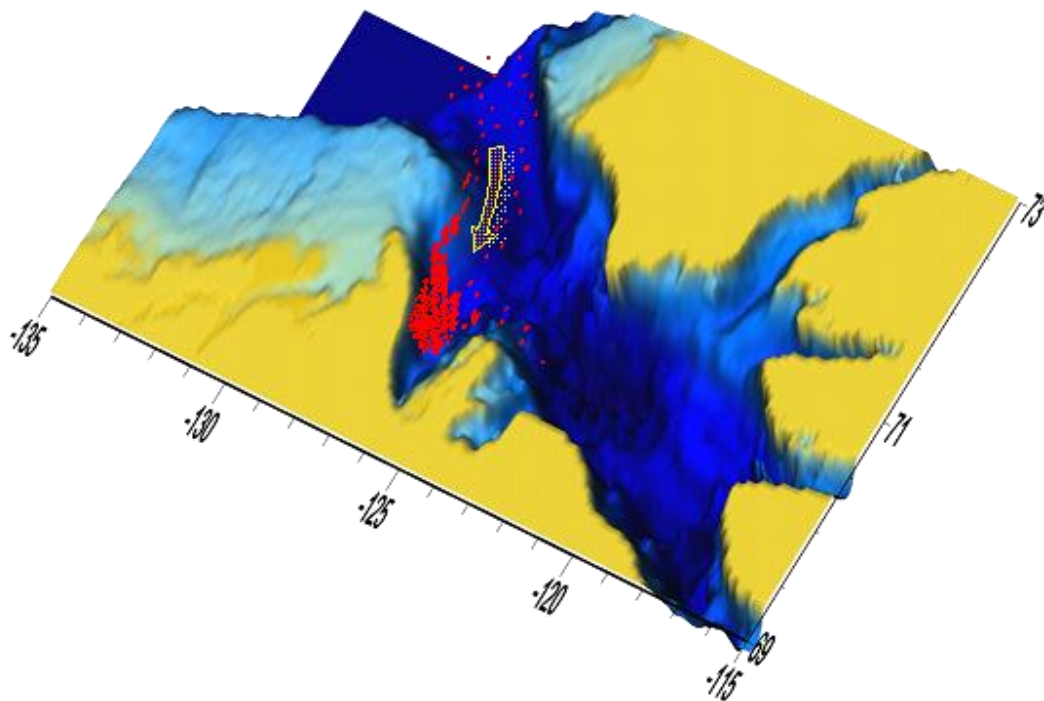
## IMOS: Integrated Marine Observing System



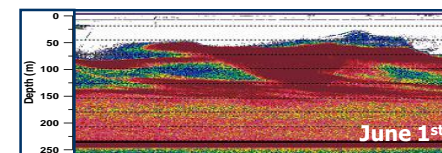
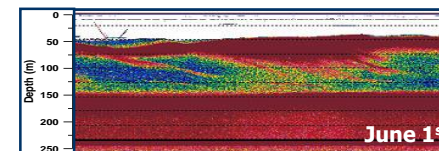
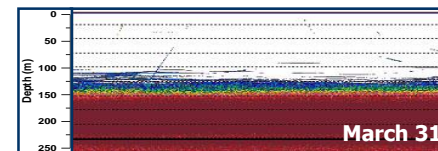
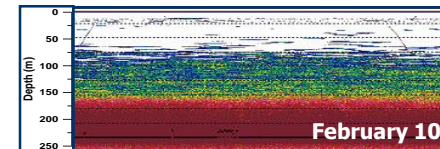
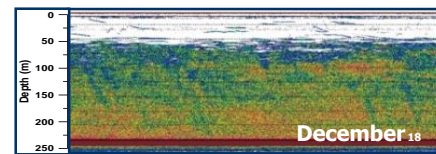


# Vessel as Observatory Platform

## Overwintering Aggregation of Arctic Cod (*Boreogadus saida*)

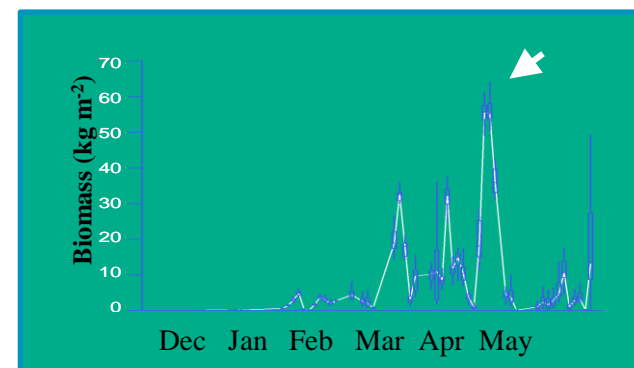


Laval University: L. Fortier, D. Benoit,  
M. Geoffrey, Y. Simard



UNDER-ICE

TIME

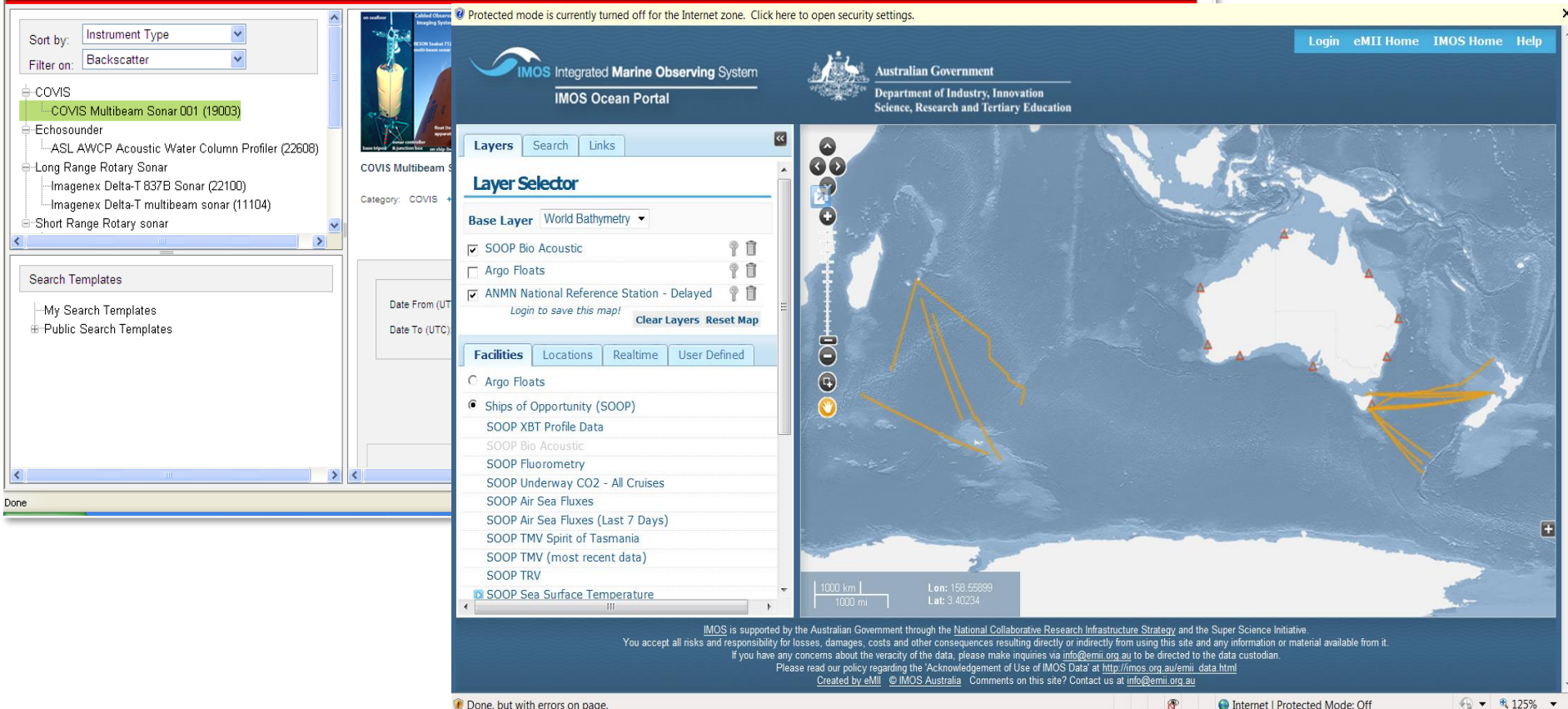
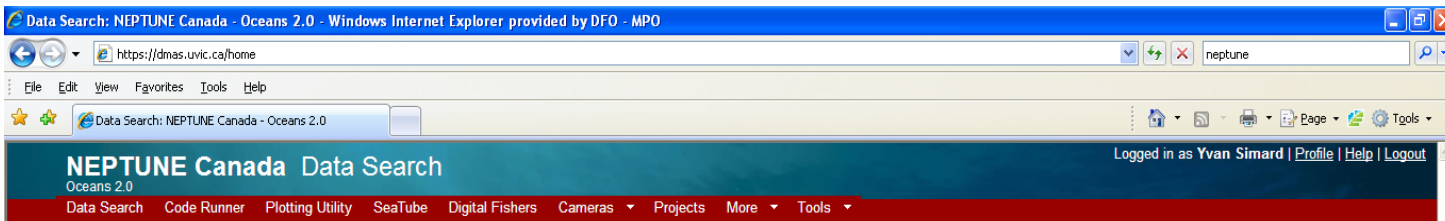




# Observing System Data Portals

## NEPTUNE Canada

## IMOS Australia

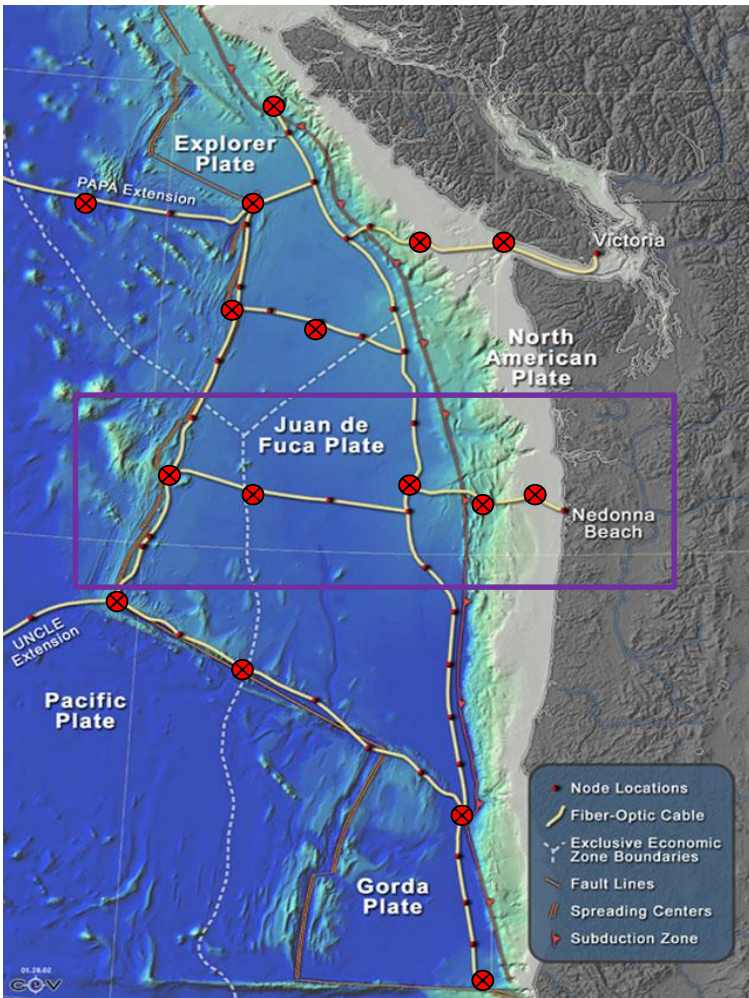


# Observing Project vs Observatory Program

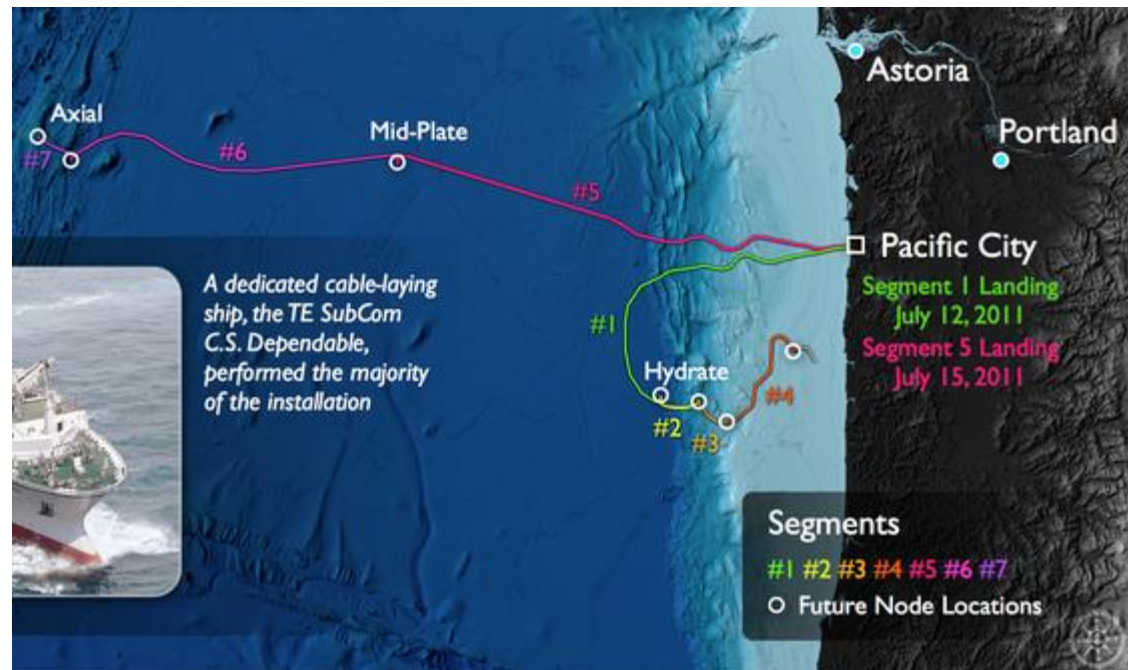
Attribute	Project	Program
Capital Cost	10 – 100s k USD	1000s k USD
Infrastructure	moderate	LARGE
Duration	months - years	10's years
Footprint	moderate	LARGE
Goal	science objectives	monitoring, testbed
Participation	PI centric	purchase entry
Data Policy	PI centric	constrained open
Sustainable?	funding cycle	Infrastructure, funding cycles

# NEPTUNE Regional Observatory

Envisioned circa 2001



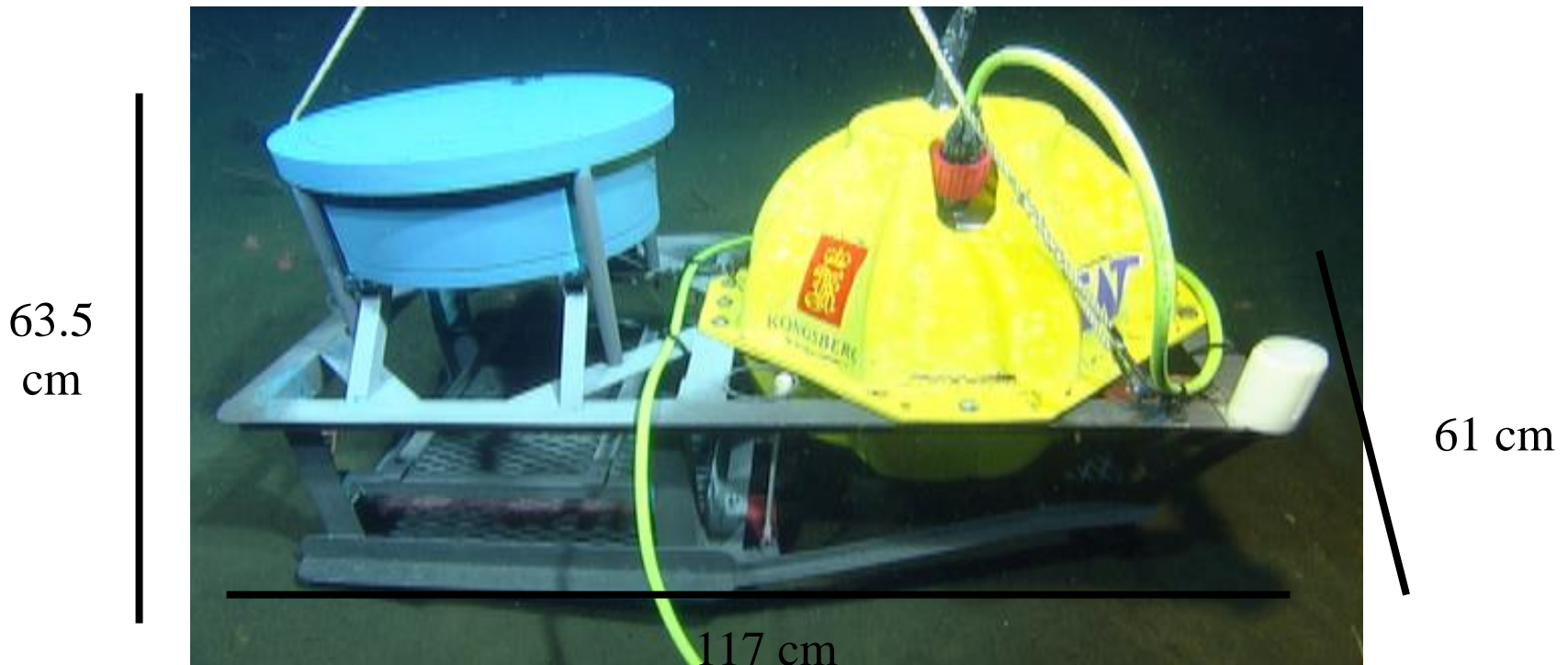
Realized circa 2012





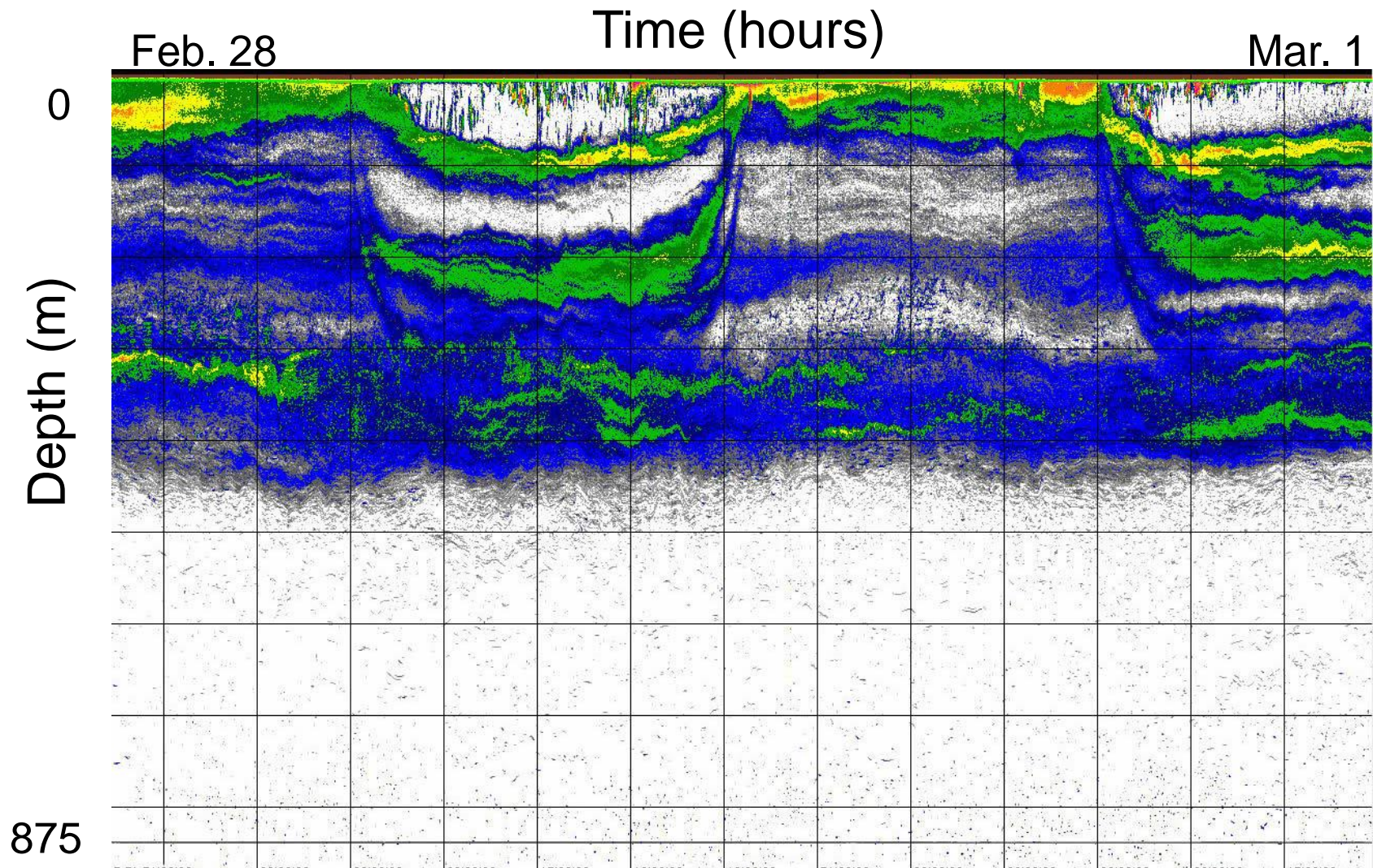
# DEIMOS Science Objectives

- daily vertical migrations
- predator-prey interactions (e.g. whale-krill)
- biological flux
- use of acoustics in Ocean Observatories

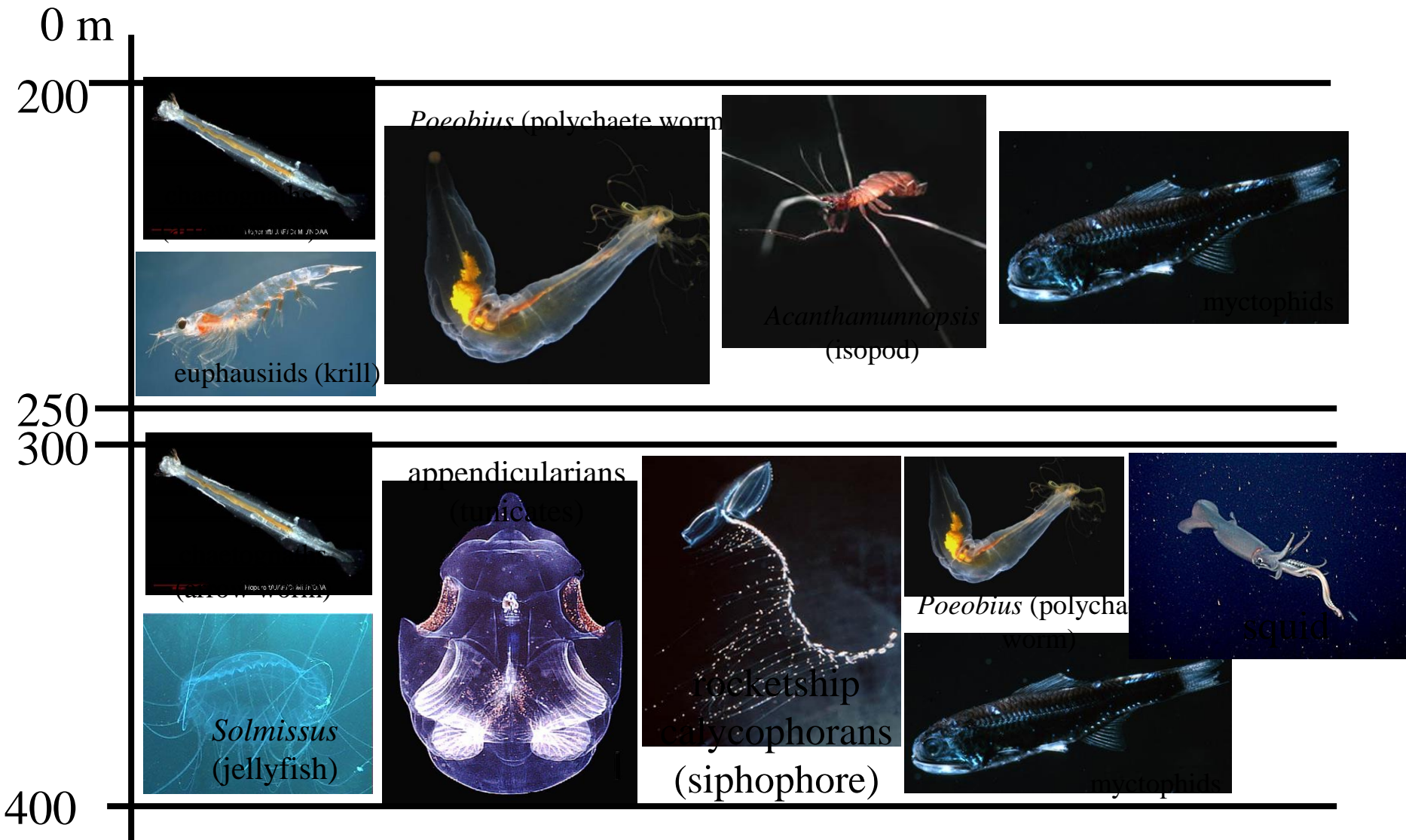




# DEIMOS Echosounder Data



# Composition of Backscatter Layers







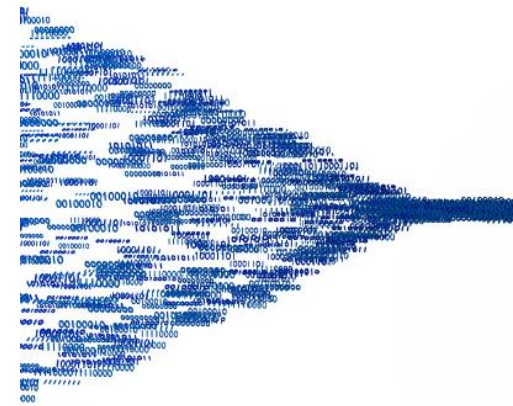
# Data Volume

Monterey Data (1 frequency, 0.2 Hz sampling rate):

365 d x 24 h x 60 m x 12 pings/h x 875 m / 0.5 m resolution

=  $1.104 \times 10^{10}$  data points

How to characterize distribution patterns for data analysis  
(and avoid data bottlenecks)?



# Echometrics: Distribution Characterization

Quantity	Metric	Formula
Density	Mean volume backscattering strength	$10 \times \log_{10}(\frac{\int s_v(z)dz}{H})$
Location	Center of mass	$\frac{\int z s_v(z)dz}{\int s_v(z)dz}$
Dispersion	Inertia	$\frac{\int (CM - z)^2 s_v(z)dz}{\int s_v(z)dz}$
Occupied Area	Proportion occupied	$\frac{\int z  s_v(z) > s_{v,thresh} dv}{H}$
Evenness	Equivalent area	$\frac{(\int s_v(z)dz)^2}{\int s_v(z)^2 dz}$
Aggregation	Aggregation index	$\frac{\int s_v(z)^2 dz}{(\int s_v(z) dz)^2}$

where:  $s_v$  volume backscattering coefficient,  $z$  depth,  $H$  total water column depth

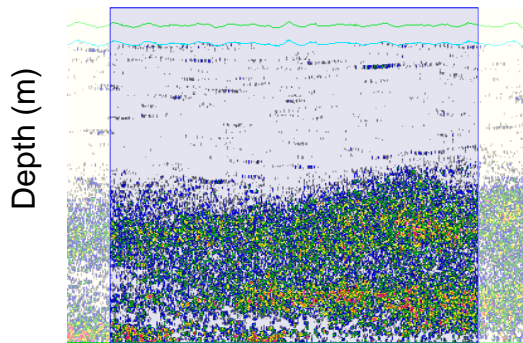
Urmy et al.



# Echosystem Metrics

## Structure

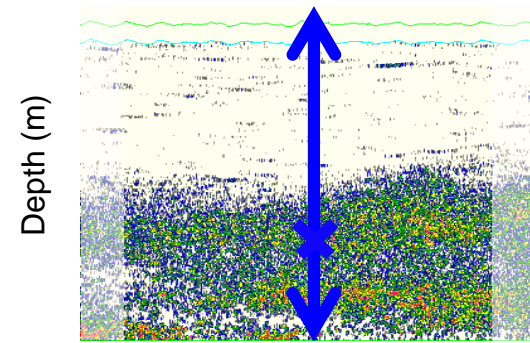
### Density



Mean volume backscattering strength ( $S_v$ ).

Units: dB re 1 m<sup>-1</sup>

### Center of Mass



Depth mean weighted center.

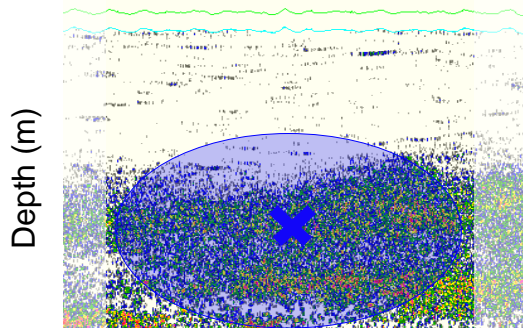
Units: m

Time (s)

Time (s)

## Function

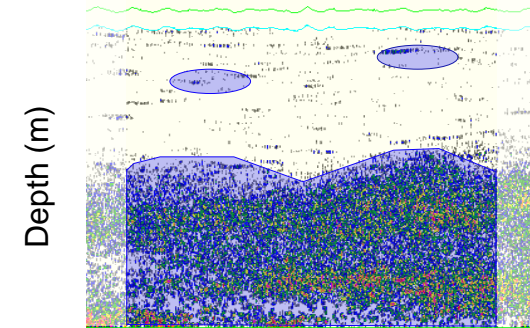
### Inertia



Variance of biomass around center of mass

Units: m<sup>2</sup>

### Aggregation Index



Patchiness, on a scale of 0 to 1

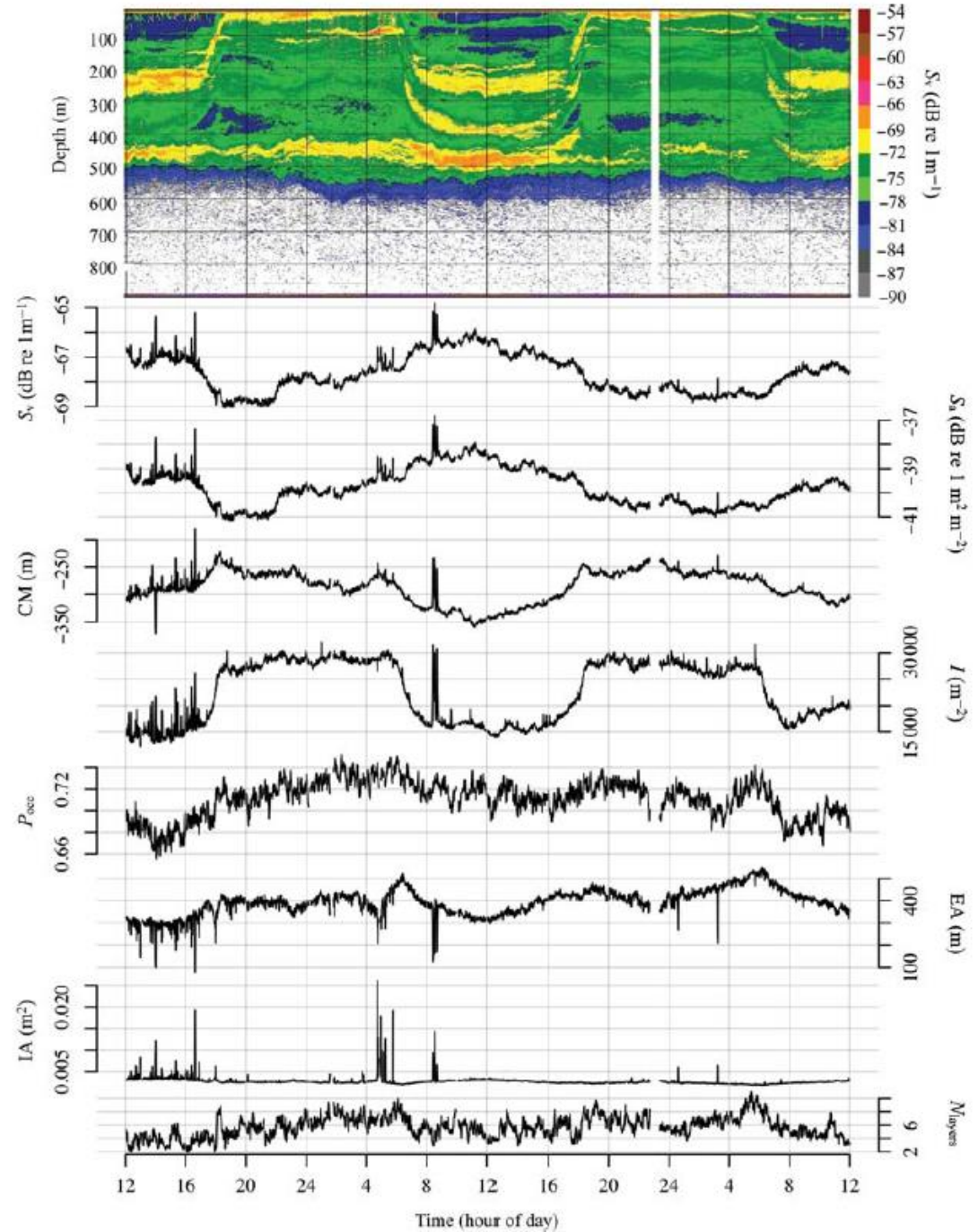
Units: m<sup>-1</sup>

Time (s)

Time (s)

# Metric Summary

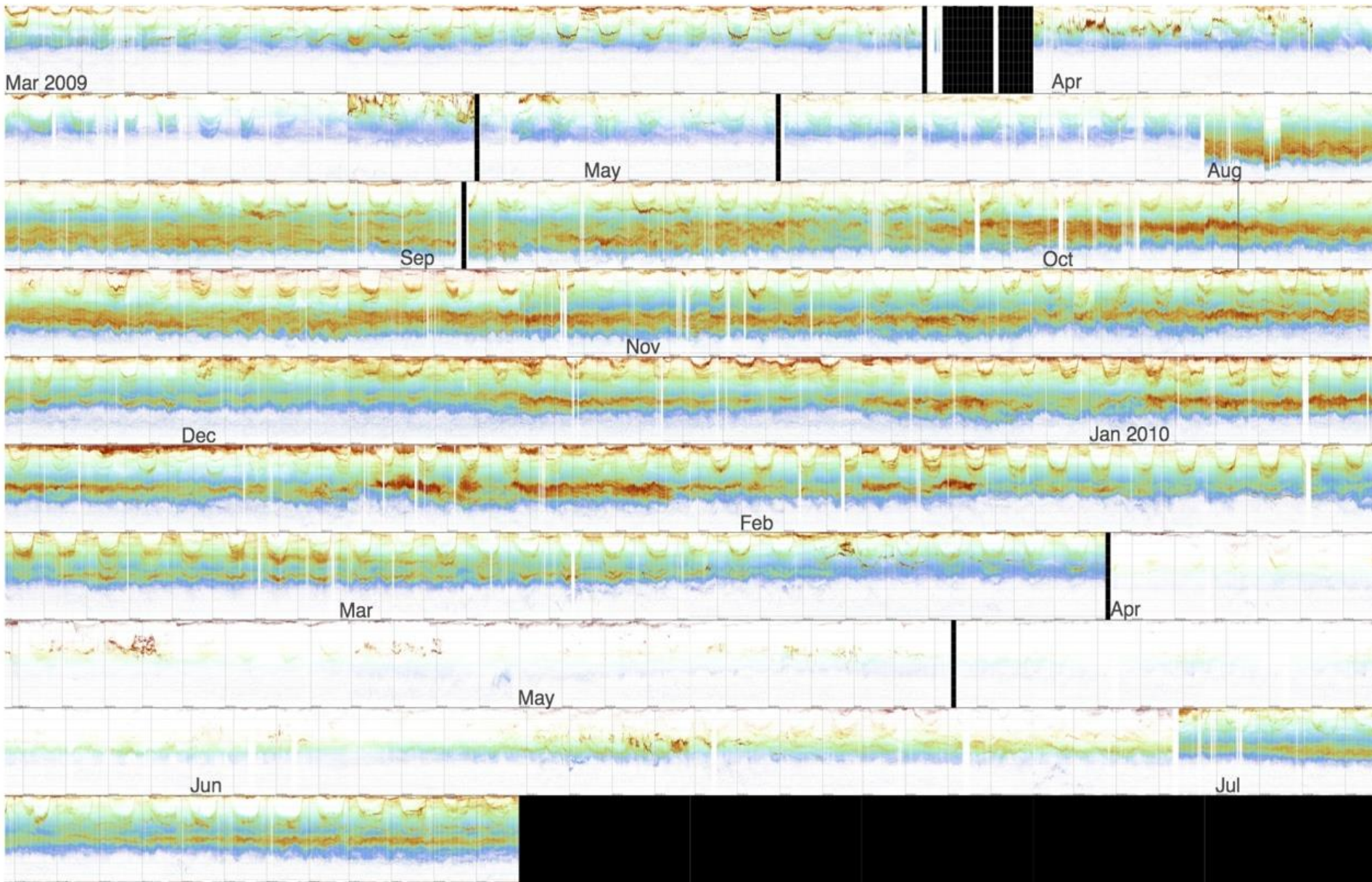
March 2-4, 2009



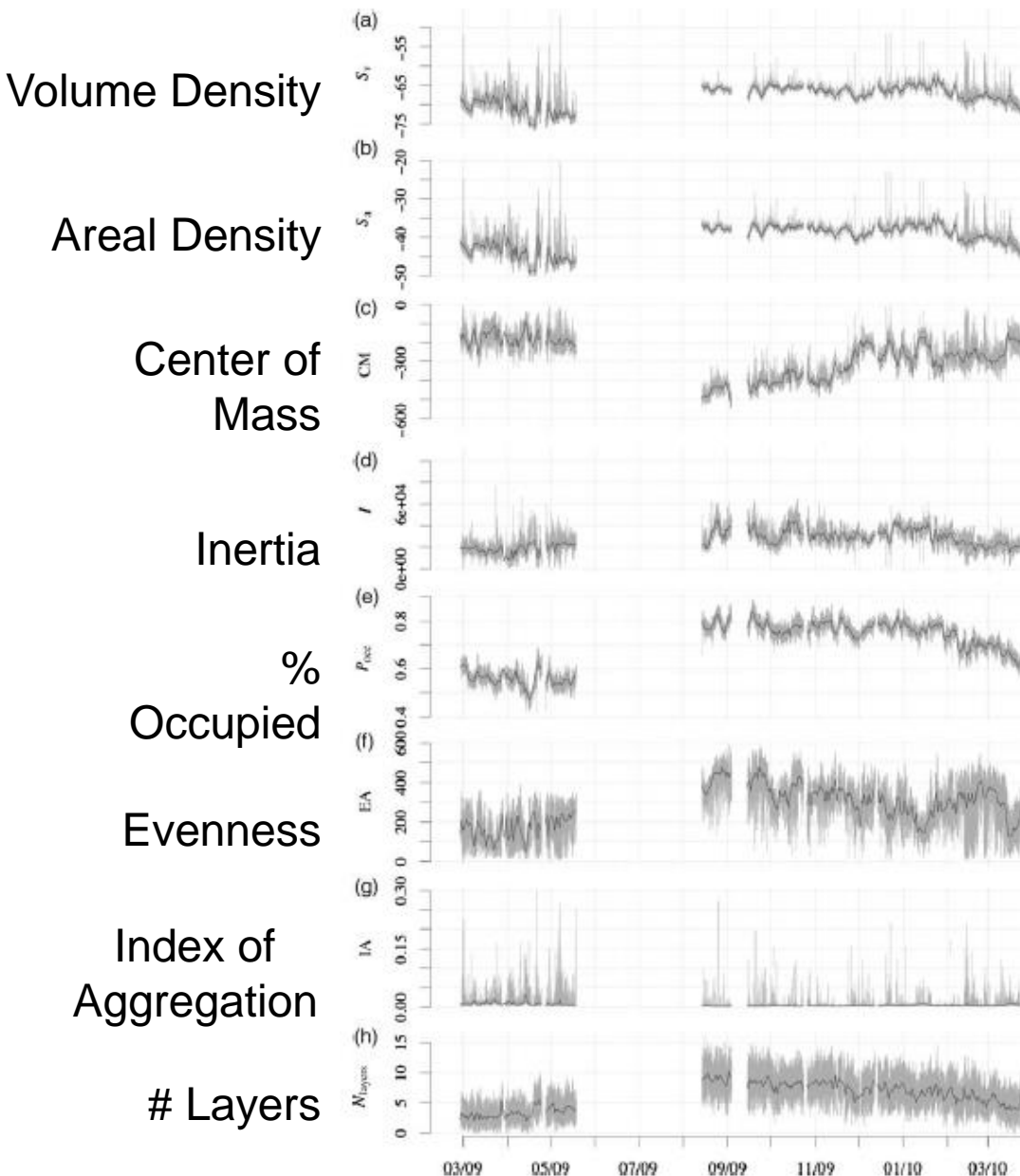
Urmy et al. 2012



# A Year in the Life of Monterey Bay



# Digital Year in the Life of Monterey Bay



10 min bins for daily  
average

365 days x 8 metrics  
= 2,920 data points

Data volume reduction:  
7 orders of magnitude

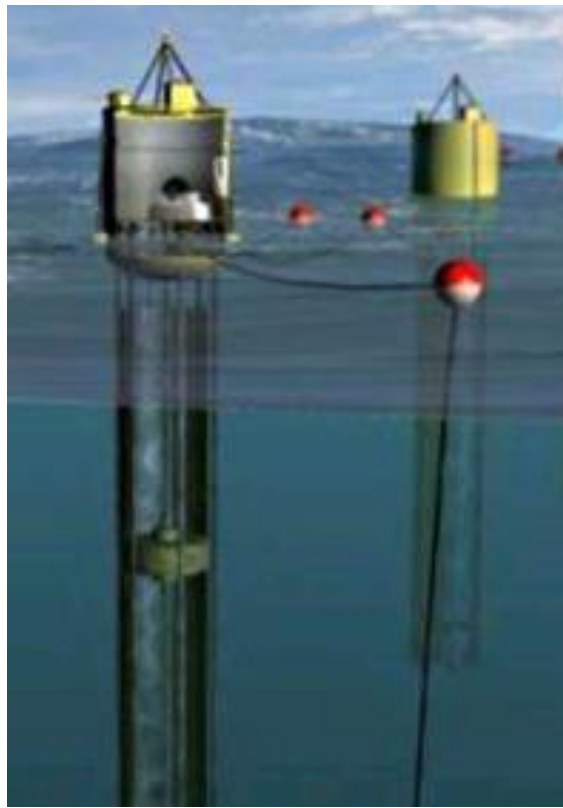


# Marine Renewable Energy

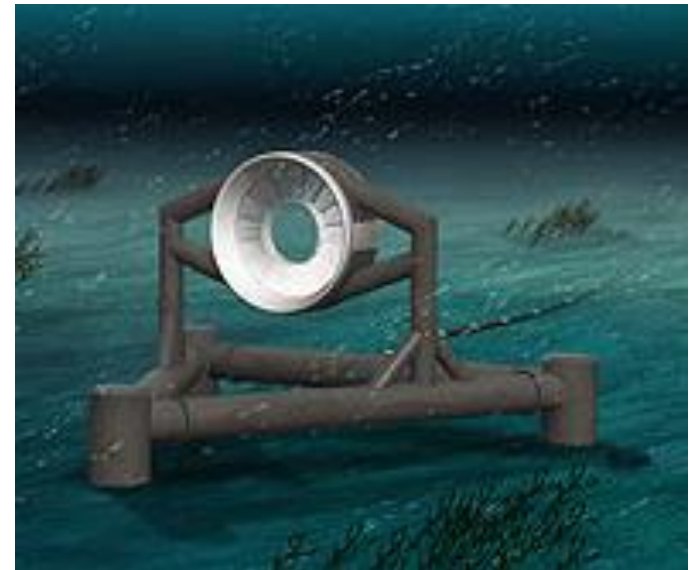
Offshore Wind



Surface Wave



Tidal Turbine



# MRE Factoids

- global consumption 15 TW (Arbic and Garrett 2010)
- current 70% of US electricity demand met by fossil fuels
- 0.3 TW global hydroelectric electricity production
- Potential: worldwide tidal dissipation 3.7 TW
- Condition: min 2 m/s tidal speed

“Environmental effects of tidal devices as one of top three barriers to development” (Bedard 2008)

# Biological Monitoring

## Evolution of Perception:

Impact **on** devices to impact **of** devices

## Research Needs:

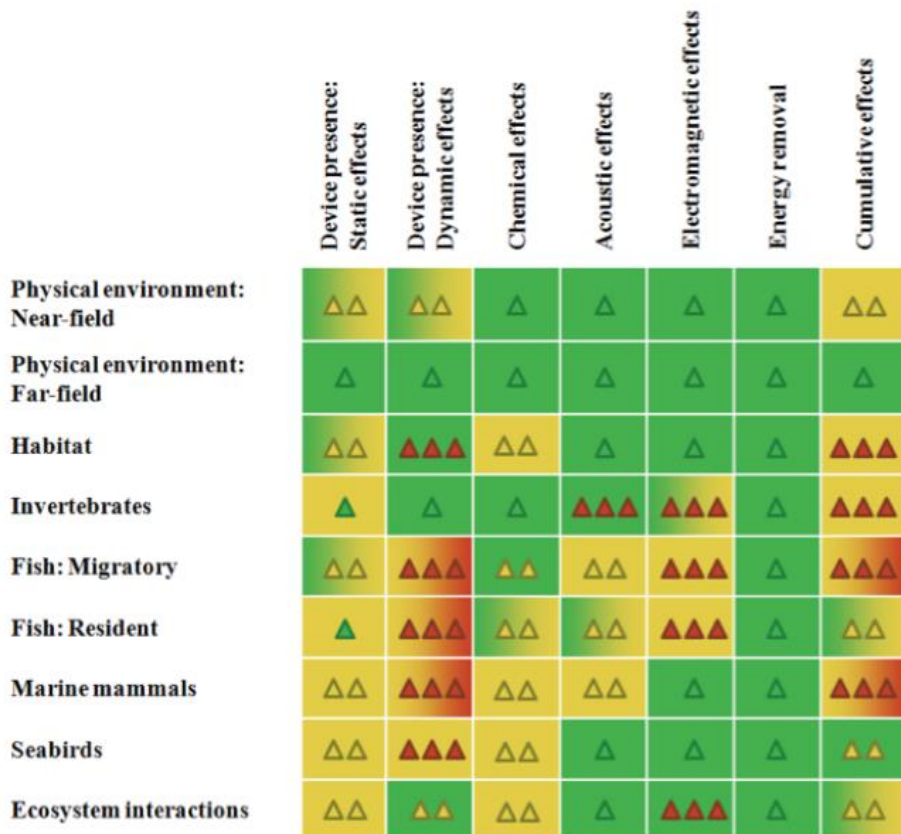
who to monitor, what technologies to use, what metrics to measure, when and where to sample, how to model pattern, what covariates matter, how to interpret data

## Evaluation:

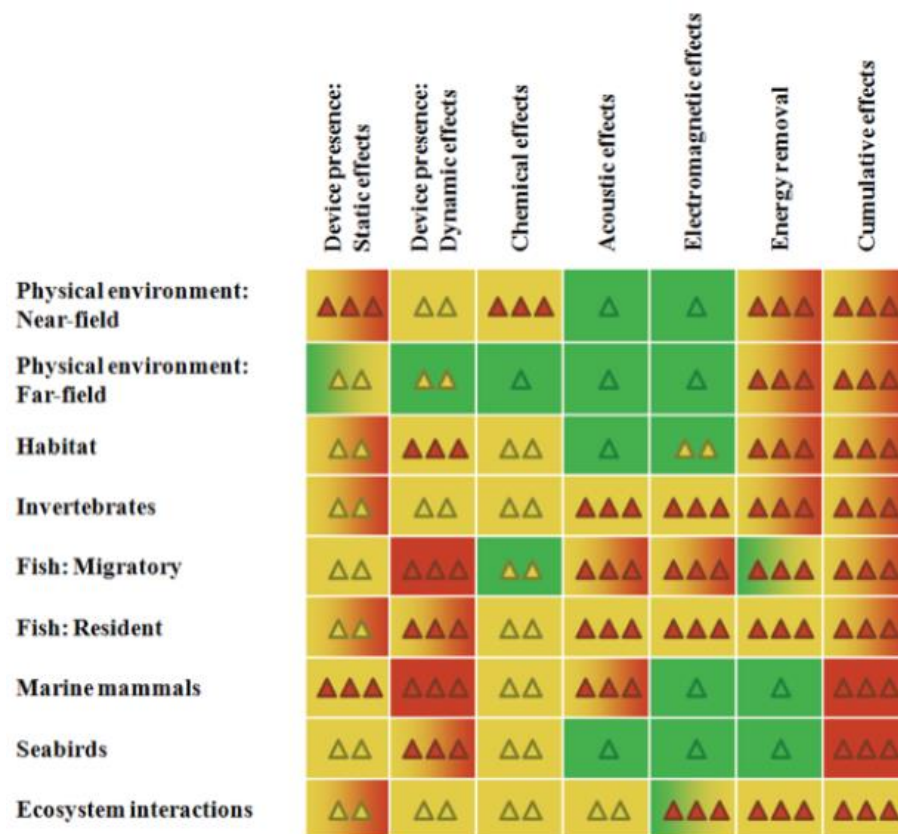
Quantify and compare variance in density distributions relative to baseline

# Who/What to Monitor

## Pilot Scale



## Commercial Scale



Significance: green=low, red = high

Uncertainty: 1 green =low; 2 yellow moderate; 3 red = high



# Site Characteristics & Sampling Decisions

**Site Characteristics:** high flow environments; little previous biological sampling

**Field:** instrument choice & deployment, sample duty cycle

**Analytic:** modeling, detecting change, identifying causes of change, determining impacts

**Applications:** scaling up results from samples to site

# Bottom Instrument Packages

Multibeam sonar: RESON 7128



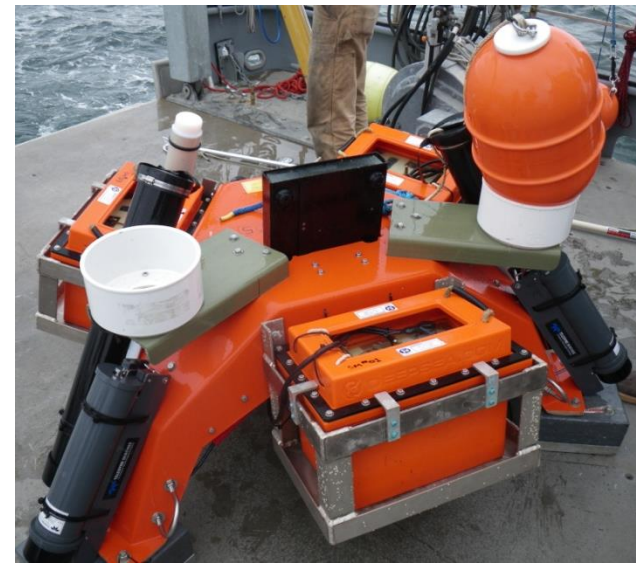
Splitbeam echosounder:  
Biosonics 120 kHz



Acoustic Doppler Current  
Profiler: Nortek



Acoustic camera:  
SoundMetrics DIDSON

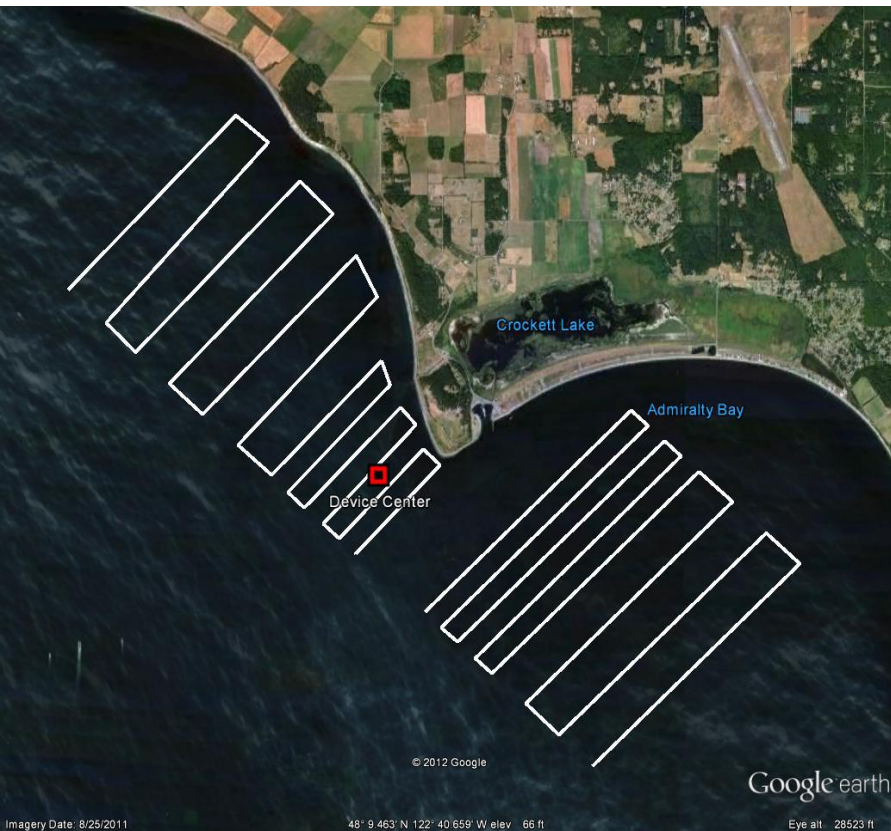


Hydrophone,  
CTD, CPOD

# Survey Design: Surface

Acoustic, midwater trawl, seabird, marine mammal surveys

- before, during, after bottom package deployment
- cycles: lunar, tidal, diel
- animal behavior: day, dusk, night samples
- spatial variability: representative spot, site characterization



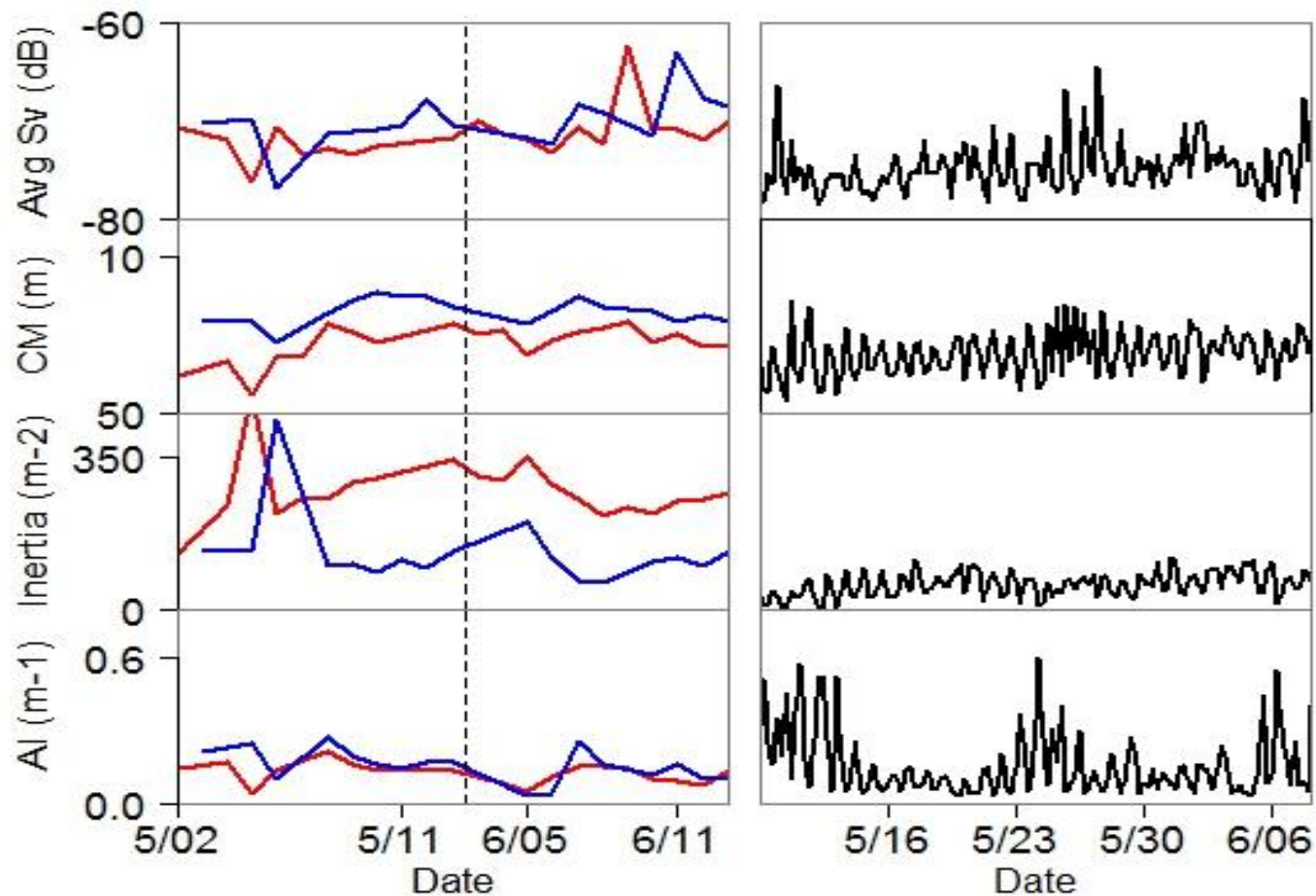
- 2 weeks May, 2 weeks June
- day, dusk (drift), night surveys
- 2 grids: north south
- midwater trawls when possible (1 knt)
- sample north or south grids on consecutive days to cover all tidal stages x time of day



# Metric Value Comparison

Mobile Survey

Stationary Survey



— North Grid  
— South Grid

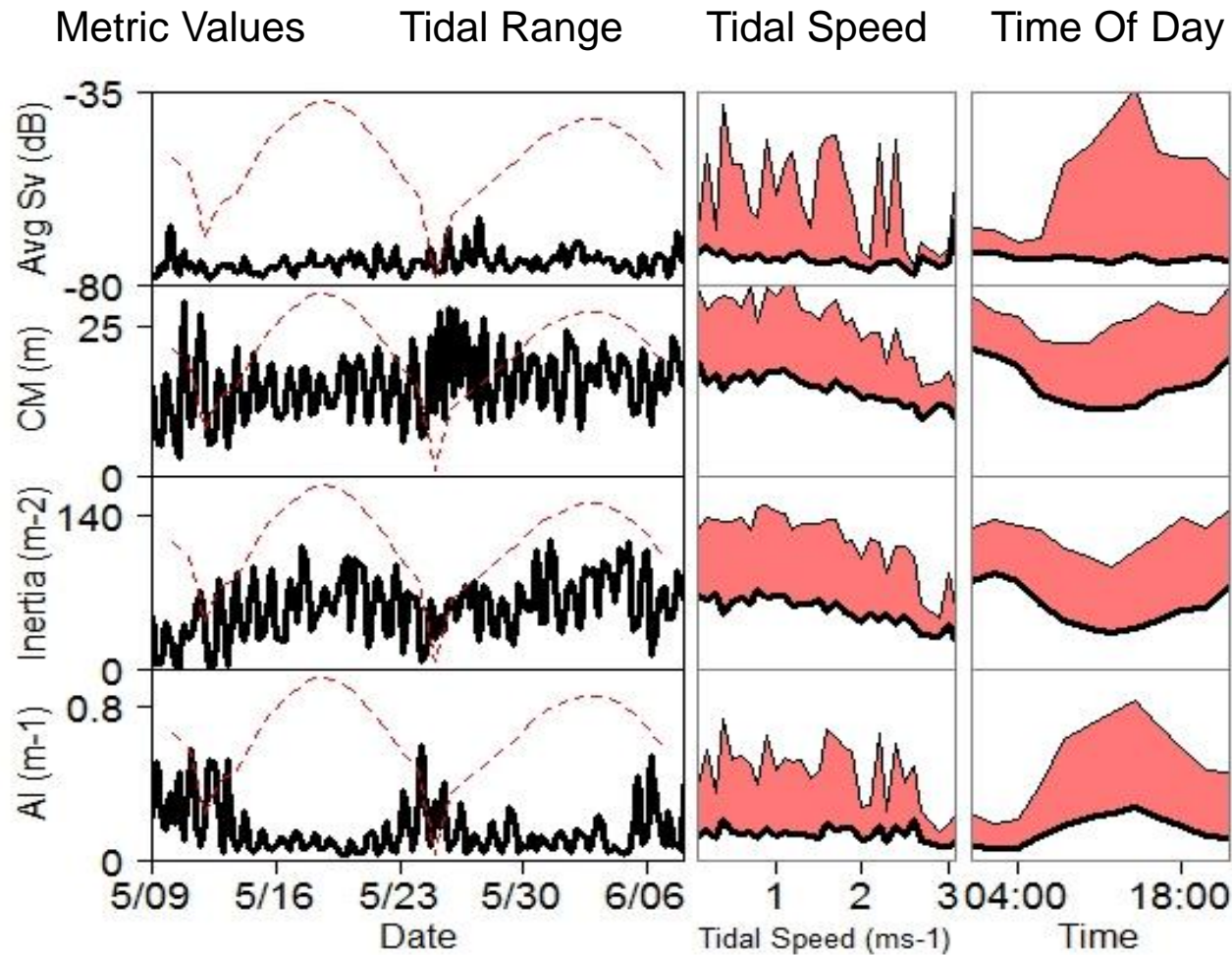


# Scaling Up: Representative Ranges

What does a single point represent in space?

Method	Representative Range (meters)	Devices per km <sup>2</sup>	Estimated Cost/km <sup>2</sup>
Coefficient of Determination Model	288.65	3.90	\$292,500
Gray's Sample Size Calculation	403.90	1.95	\$146,250
<i>t</i> -test Sample Size Calculation	30.57	340.61	\$25.5 million
Power Analysis	88.45	40.68	\$3.0 million
Theoretical Spectra	1,388.10	0.015	\$1,125
Corresponding Spatial and Temporal Scales	648.70	0.75	\$56,250

# Stationary Metrics: Covariates



mean  $\pm$  2 std. dev.

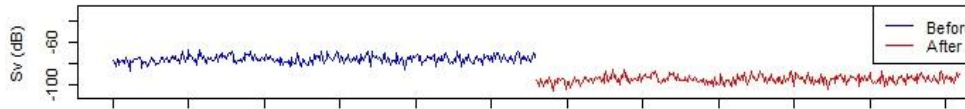
# Modeling Metric Patterns

Model	Form	Parametric/ Nonparametric	Variance	Includes Autocorrelation?	Error Distribution
Linear Regression	Linear	Parametric	Observation error only	No	Normal
Generalized Least Squares (GLS)	Linear	Parametric	Observation error only	In correlation structure	Normal
Generalized Linear Model (GLM)	Linear	Parametric	Observation error only	No	Exponential family
Generalized Linear Mixed Model (GLMM)	Linear	Parametric	Observation error only	In correlation structure	Exponential family
Generalized Additive Model (GAM)	Non-linear	Semi-parametric	Observation error only	No	Exponential family
Generalized Additive Mixed Model (GAMM)	Non-linear	Semi-parametric	Observation error only	In correlation structure	Exponential family
Multivariate Auto-Regressive State-Space (MARSS)	Linear	Parametric	Observation and process error	Yes	Normal
Auto-Regressive Integrated Moving Average (ARIMA)	Linear	Parametric	Process error and observation error	Yes	Normal
ARIMA + Generalized Auto-Regressive Conditional Heteroskedasticity (GARCH)	Linear	Parametric	Process error and observation error	Yes	Generalized extensions of normal
Random Forest	N/A	Non-parametric	N/A	Yes- lagged variables	None
Support Vector Regression	N/A	Non-parametric	N/A	Yes- lagged variables	None

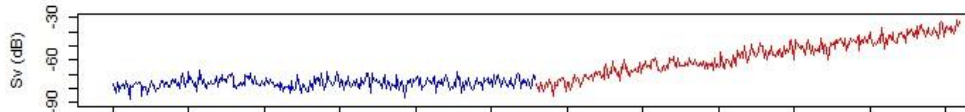
# Scenarios of Change

## Stressor

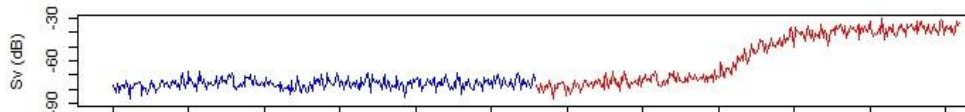
Noise



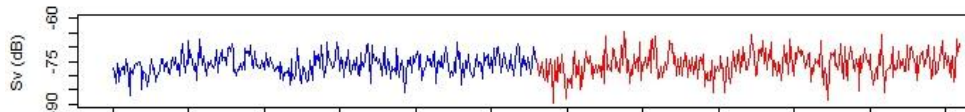
Static  
Device



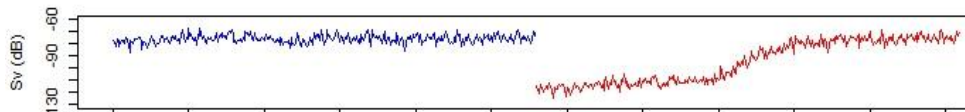
Static  
Device



Dynamic



Chemical  
Spill



Time (Pre- and Post- MRE)

## Change

Step

Effect Size

- 10%
- 25%
- 2SD

Linear

Lag

- No lag
- 1 year

Nonlinear

Signal-to-Noise Ratio

- Initial values of error
- High error
- Low error

Periodic

Step + Nonlinear



# What Constitutes Change?

Change: deviation from a reference

Challenge: How to choose a reference/threshold?

Objective Threshold: Extreme Value Analysis

- rare but important events, high risk
- can have large impacts (e.g. 100 year flood)



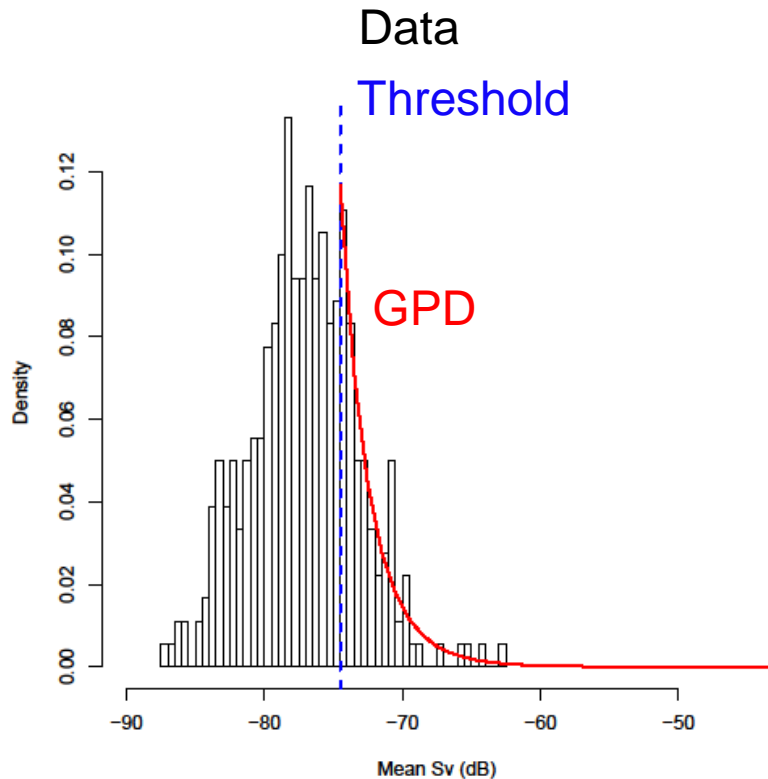
# Extreme Value Analysis

**Extreme Value Analysis (EVA)** models rare values in distribution tails.

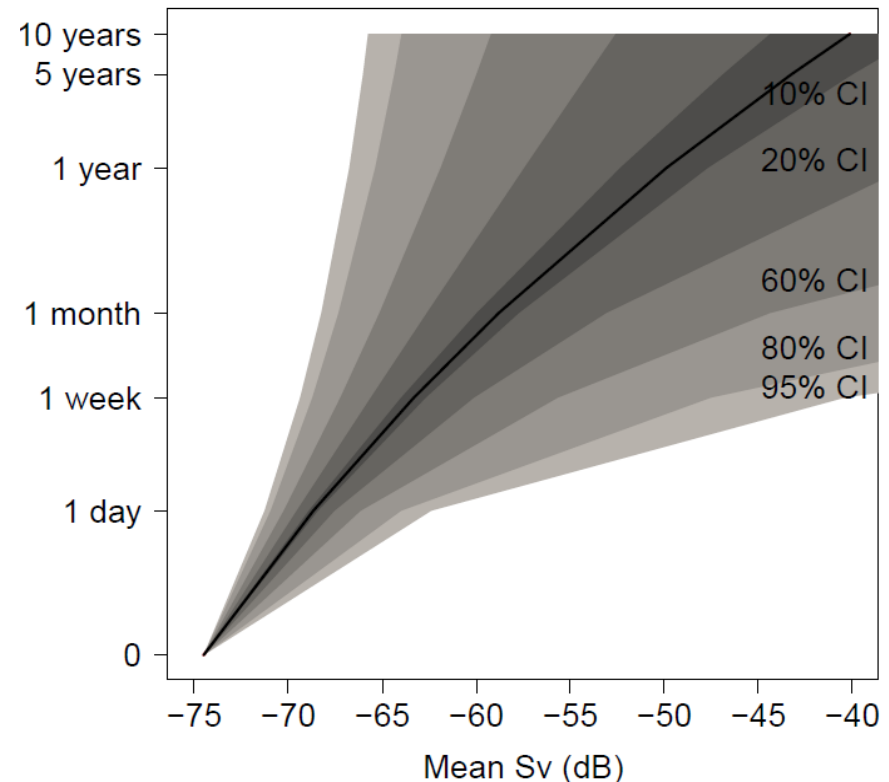
**Peaks-Over-Threshold** defines extreme values above threshold. Fits Generalized Pareto Distribution (GPD) to extreme values.

**Return Levels** are average periods of extreme values + Bayesian Confidence Intervals.

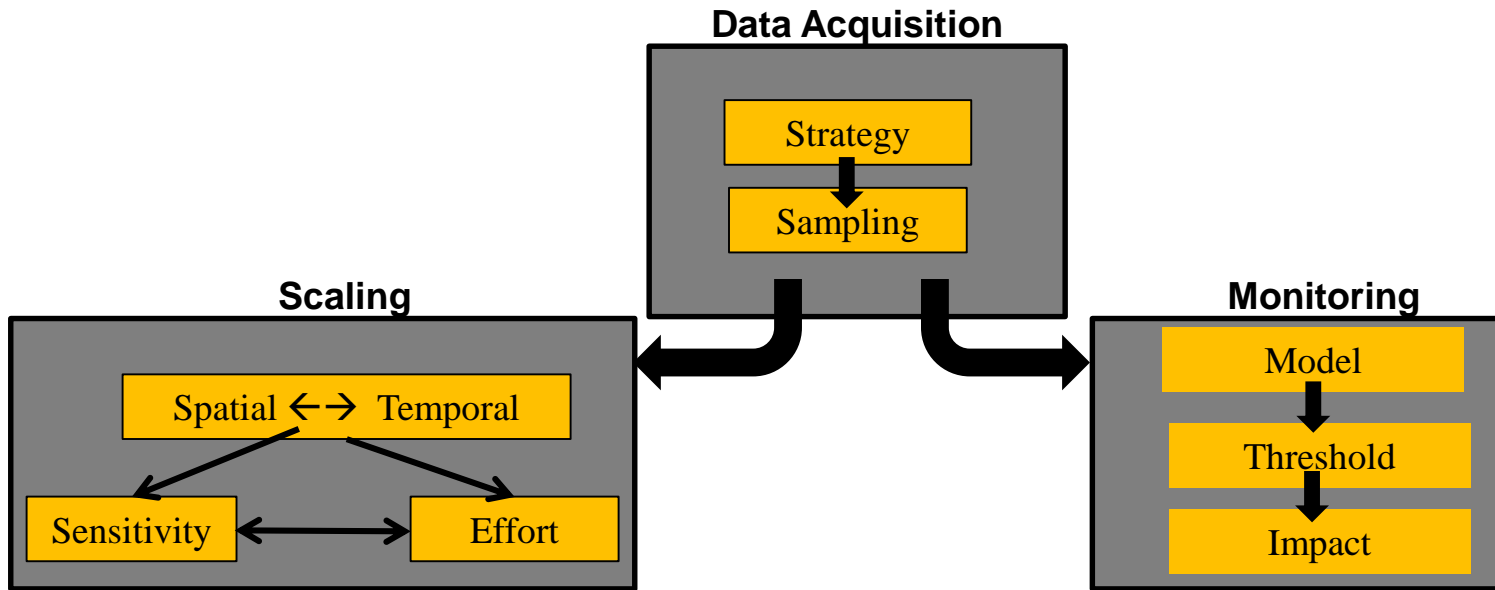
EVA of Baseline Tidal Turbine Site



Confidence Levels of Return Levels



# Significance and Applications



- quantifies baseline, variability, and impacts
- site evaluation, pilot project, commercial scale
- monitoring density of instrumentation packages
- enables comparison within and among sites
- developers and regulator common language
- all marine renewable technologies
- ocean observing and environmental monitoring